11-15-2016

FY18 Preliminary Education & General Budget Discussion

University of Maine

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Part of the Higher Education Commons, and the History Commons
Agenda

- Key Principles in Decision Making
- FY18 Budget Cycle
- FY17 E&G Revenue & Expense
  Pause for Discussion
- Undergraduate Enrollment
- Land Grant Comparisons
- Graduate Enrollment
  Pause for Discussion
- Budget Levers
- Next Steps
  Discussion
Key Principles in Decision Making

• Principles and process will be transparent

• Feedback/input will be encouraged throughout the budget development process

• Advances UMaine’s strategic priorities

• Balances the need for investments in key areas with the need for a balanced budget
### Budget Cycle

#### UMaine Fiscal Year 2018
(July 1, 2017 through June 30, 2018)

<table>
<thead>
<tr>
<th>2016</th>
<th>2017</th>
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</thead>
<tbody>
<tr>
<td>July</td>
<td>Jan</td>
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<tr>
<td>Aug</td>
<td>Feb</td>
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<tr>
<td>Sept</td>
<td>Mar</td>
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<td>Oct</td>
<td>Apr</td>
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<tr>
<td>Nov</td>
<td>May</td>
</tr>
<tr>
<td>Dec</td>
<td>Jun</td>
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- **Campus FY18 Budget Development**
  - Initial Conversations
  - Enrollment update
  - Review of allocations & assumptions

- **November 15th Campus Budget Discussion**

- **January 25th Campus Budget Discussion**

- **March (TBD) Campus Budget Discussion**

- **May (TBD) Campus Budget Discussion**

- **1st iteration of FY18 budgets updated into MaineStreet by 01/15/17**

- **UMaine budget reviewed by FF&T of BOT**

- **BOT final budget approval**

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**Key**
- FF&T = Finance, Facilities & Technology
- BOT = Board of Trustees
FY17 E&G Revenue & Expense

E&G Expenditures $253.4M
(does not include $13.4M in depreciation)

E&G Revenue $253.4M

Tuition & Fees (Net of $3.0M waivers) $144.1M

- Compensation & Benefits $151.6M
- Scholarships $42.6M
- State Appropriation $59.2M
- Tuition & Fees (Net of $3.0M waivers) $144.1M
- Sales/Transfer/Other $18.4M
- Indirect Costs $8.4M
- Unrestricted $82.5M

- 59.8% Compensation & Benefits
- 16.8% Scholarships
- 23.4% All Other
- 4.0% Fuel & Electricity $10.1M
- 2.4% Capital $6.2M
- 2.4% Library Acquisit. $6.1M
- 6.8% Shared Services $17.3M
- 1.0% Travel $2.5M
- 6.8% Other $17.0M
Pause for Discussion
Fall 2017 First Year Enrollment Goal

2,300 first year students on October 15, 2017 (census)

Fall 2016 first year students = 2,230
• largest in UMaine’s history
• previous record – 2,166 fall 2013

Challenges

Partnering with other UMS campuses to deliver the student Foundations Program

Capping enrollment in popular majors
• Bioengineering
• Mechanical Engineering
• Construction Engineering Technology
• Mechanical Engineering Technology
• Nursing
Is this realistic? Yes.

Financial Aid

- Flagship Match expanded
  - Rhode Island
  - Illinois
  - California

- Maine Top Scholars Program
  - Continue Maine Match program
  - Top 20 Maine Applicants
  - Awards to Top Maine Science Fair

Enrollment Management Indicators

As of Nov. 15, 2016

<table>
<thead>
<tr>
<th>Inquiries</th>
<th>Fall 2017</th>
<th>57,998</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Fall 2016</td>
<td>46,120</td>
</tr>
</tbody>
</table>

| High School Visits | Fall 2016 | 1,237 |
|                   | Fall 2015 | 886   |

| Open House Student Attendees | Nov. 2016 | 473 |
|                            | Oct. 2016 | 411 |
|                            | Oct. 2015 | 232 |

Inquiries

- Fall 2017: 57,998
- Fall 2016: 46,120

High School Visits

- Fall 2016: 1,237
- Fall 2015: 886

Open House Student Attendees

- Nov. 2016: 473
- Oct. 2016: 411
- Oct. 2015: 232
Fall 2017 Admissions Report

As of Nov. 10, 2016

**Applied**

- Resident: 1,094 (2017), 641 (2016)
- Non-Resident: 2,621 (2017), 1,987 (2016)

**Admitted**


**Confirmed**

- Non-Resident: 23 (2017), 17 (2016)
# New England Land Grants

## Fall 2016

### Ratio of In-State/Out-of-State Students

<table>
<thead>
<tr>
<th></th>
<th>UVM</th>
<th>UNH</th>
<th>URI</th>
<th>UM</th>
<th>UMASS</th>
<th>UCONN</th>
</tr>
</thead>
<tbody>
<tr>
<td>In-State</td>
<td>28%</td>
<td>46%</td>
<td>54%</td>
<td>68%</td>
<td>78%</td>
<td>77%</td>
</tr>
<tr>
<td>Out-of-State</td>
<td>72%</td>
<td>54%</td>
<td>46%</td>
<td>32%</td>
<td>22%</td>
<td>23%</td>
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**UMaine**

Increase in Out-of-State Undergraduate Degree-Seeking Students

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</tr>
</thead>
<tbody>
<tr>
<td>%</td>
<td>17%</td>
<td>18%</td>
<td>20%</td>
<td>22%</td>
<td>26%</td>
<td>28%</td>
<td>32%</td>
</tr>
</tbody>
</table>

Increase of 15% points over 7 years

**First-year Class Profile**

56% In-State  44% Out-of-State
UMaine Undergraduates


- **Fall 2003**
  - Headcount: 8,219
  - FTE: 7,392
  - Credit Hours: 110,879

- **Fall 2016**
  - Headcount: 8,757
  - FTE: 8,349
  - Credit Hours: 125,239

- **Increase**
  - Headcount: 538
  - FTE: 957
  - Credit Hours: 14,360

UMaine Undergraduates

Unpacking In-State/Out-of-State Credit Hours

% Change from 2000

% Change
from 2000


Fall 2003
Total Credit Hours 110,879
Out-of-State 18,252
In-State 92,627

Fall 2016
Total Credit Hours 125,239
Out-of-State 40,617
In-State 84,622

Enrollment Data: Fall Census

5-Yr Undergraduate Fall Credit Hour Enrollment Changes

- 2012: 117,065
- 2013: 122,767
- 2014: 125,898
- 2015: 125,673
- 2016: 127,895

5-Yr increase of 10,830 Credit Hours
New England Land Grant

Ratio of Out-of-State Students Comparison

2010 / 2016

<table>
<thead>
<tr>
<th>Institution</th>
<th>2010</th>
<th>2016</th>
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</thead>
<tbody>
<tr>
<td>UVM</td>
<td>67%</td>
<td>72%</td>
</tr>
<tr>
<td>UNH</td>
<td>37%</td>
<td>54%</td>
</tr>
<tr>
<td>URI</td>
<td>37%</td>
<td>46%</td>
</tr>
<tr>
<td>UMaine</td>
<td></td>
<td></td>
</tr>
<tr>
<td>UMass</td>
<td>20%</td>
<td>22%</td>
</tr>
<tr>
<td>UConn</td>
<td>25%</td>
<td>23%</td>
</tr>
</tbody>
</table>

UConn data not available.
UMaine’s financial health depends upon increasing enrollment and improving retention.

We have set an ambitious Fall 2017 goal.

Meeting the target goal enrollment is realistic.

But

To succeed, enrollment and retention have to be campus priorities. Because

Competition among New England Land Grants universities for out-of-state enrollment is fierce.
What about Grad enrollment?

**5-Yr Graduate Fall Credit Hour Enrollment Changes**

- 2012: 11,127
- 2013: 10,543
- 2014: 10,067
- 2015: 8,936
- 2016: 9,609

5-Yr decrease of 1,518 Credit Hours
What are we doing about Graduate Enrollment?

- MBA and MSW available online
- Increase statewide and national awareness of UMaine graduate offering
  - Promoting pathways to graduate study to student at other UMS campuses
  - Direct name purchases of test takers for the GRE and GMAT (targeting professional programs with capacity)
  - Outreach through social media (e.g. Facebook and Twitter)
  - Outreach to professional organizations and businesses that hire professional program graduates (e.g. K12)
- Reworking Graduate School and selected department website to be more recruitment focused
- Streamline admission processes to reduce application to admission time
- TargetX CRM to make communication with prospects, applicants, and admitted students more frequent, responsive and efficient
- Promote 4+1 programs to UMaine students
- Developing non-thesis options where appropriate
Pause for Discussion
FY18 UMaine Budget Levers

**Revenue**

- **Tuition & Fees**
  - In-State & Out-of-State Increase
  - State Mandated Waivers
  - Increase in Unpaid Student Accounts
- **State Appropriation**
- **Compensation**
  - Salary & Wage Negotiated Increases
  - Employee Salary & Wage Benefits
- **Operational Increases**
  - Facility Insurances
  - University Shared Services
  - Required Increase in Capital Funding

**Expense**

- **Tuition & Fees**
  - Enrollment Adjustments
  - Scholarship Increases (Including Flagship Match, Need Based, Maine Match, Top Scholars, etc.)
- **Strategic Investments**
  - Academic Investments
  - Student Affairs Investments
  - Transitioning of Academic One-time Positions to Base
  - Admissions Enrollment Efforts
  - Facilities/Capital InvestmentsUtilities
  - Library Acquisitions
  - Graduate Teaching Assistant Stipend Increase

**Non-Discretionary**

**Strategic**
Next Steps

1. Refine FY18 revenue/expense budget by the end of the month

2. Assign preliminary FY18 budgets to VP’s, Deans, and Directors

3. **December 1:** Unified Budget Discussion
   - UMS CFO, Ryan Low
   - UMaine President, Susan Hunter

4. **January 25:** UMaine 2nd FY18 Budget Discussion

5. Process for Input
   - Web Survey – umaine.edu/president
   - Email – umpresident@maine.edu or Email – umcbo@maine.edu
Campus Community Survey Questions

umaine.edu/president

Office of the President

Welcome to the Office of the President

News

New York Times remembers the life and work of Gordon Hamilton
Published: October 25, 2016

Media cover death of UMaine climate scientist Gordon Hamilton
Published: October 24, 2016

Welcome to the University of Maine.

Nearly three decades ago, I joined the UMaine community as a faculty member and researcher. I came to UMaine for the opportunities it offered, for the leadership it demonstrated as Maine’s flagship university, and the dedication it embodied as the state’s land and sea grant institution through its mission of teaching.
Thank you
Questions?