

The University of Maine

DigitalCommons@UMaine

---

General University of Maine Publications

University of Maine Publications

---

1-2010

## Grants and Contracts Annual Report 2008/2009

University of Maine System

Follow this and additional works at: [https://digitalcommons.library.umaine.edu/univ\\_publications](https://digitalcommons.library.umaine.edu/univ_publications)



Part of the [Higher Education Commons](#), and the [History Commons](#)

---

### Repository Citation

University of Maine System, "Grants and Contracts Annual Report 2008/2009" (2010). *General University of Maine Publications*. 482.

[https://digitalcommons.library.umaine.edu/univ\\_publications/482](https://digitalcommons.library.umaine.edu/univ_publications/482)

This Report is brought to you for free and open access by DigitalCommons@UMaine. It has been accepted for inclusion in General University of Maine Publications by an authorized administrator of DigitalCommons@UMaine. For more information, please contact [um.library.technical.services@maine.edu](mailto:um.library.technical.services@maine.edu).



# GRANTS & CONTRACTS

## ANNUAL REPORT

Fiscal Year 2009

*Issued January 2010*

## TABLE OF CONTENTS

	PAGE
<b>Executive Summary .....</b>	<b>i</b>
<b>Revenues .....</b>	<b>1</b>
<b>Expenditures .....</b>	<b>2</b>
<b>Federal Revenues.....</b>	<b>4</b>
<b>State Revenues .....</b>	<b>5</b>
<b>Private Revenues .....</b>	<b>6</b>
<b>Research Expenditures .....</b>	<b>7</b>
<b>University Cost Sharing .....</b>	<b>9</b>
<b>Facilities and Administrative Cost and Benefit Rates .....</b>	<b>11</b>
<b>Facilities and Administrative Cost Recovery .....</b>	<b>12</b>
<b>Proposals Submitted and Awarded .....</b>	<b>13</b>
<b>Proposals Status Report .....</b>	<b>14</b>

## **EXECUTIVE SUMMARY**

Grants and contracts are formal agreements between the University of Maine System (University) and outside sponsors. They provide assistance and represent a mutual joining of interests on the part of the sponsor and the University in the pursuit of a common objective, or they may be used to provide services for the direct benefit of the sponsor. Grant and contract revenues come from a combination of federal, state, private, and university sources and support a wide variety of instruction, research, and public service activities. Amounts included in this report do not include student financial aid (see FY2009 Financial Aid Report). State Stabilization funds are also excluded from this report

### **REVENUES**

- Research-related activities accounted for over 50% of all grant and contract revenues.
- The Maine Agricultural and Forest Experiment Station, the UM Cooperative Extension, and the USM Muskie School of Public Service generated \$61 million (46%) of grant and contract revenues in FY2009.
- Federal sources continued to account for approximately 40% of total funding with the National Science Foundation and the Department of Agriculture providing the largest Federal share.
- The State of Maine Department of Human Services provided over 60% of all State funding.
- Grant and contract revenues decreased by \$1 million to \$133 million in FY2009 and is down \$5 million from FY2005.
- For purposes of reporting total grant and contract expenditures and their related fund sources, University cost sharing of \$28 million has been reported herein as revenue.

### **EXPENDITURES**

- All campuses had grant and contract activity with UM and USM accounting for 92% (or \$122 million) of total expenditures.
- Total research expenditures in FY2009 were \$92 million. Funding for these expenditures came from a combination of grant and contract revenues (\$70 million), educational and general funds (\$6 million), and the State of Maine research and development appropriation (\$16 million).

### **STATE RESEARCH AND DEVELOPMENT APPROPRIATIONS [MAINE ECONOMIC IMPROVEMENT FUND (MEIF)]**

- In FY2009 MEIF expenditures totaling \$19 million generated \$58 million in research grants and contracts. (A portion of the generated grants and contracts represent multi-year awards whose expenditures will appear in future grants and contracts reports.)
- In FY2009, MEIF expenditures were as follows: \$3 million for cost sharing and \$16 million for equipment purchases and space renovations in the seven MEIF-designated strategic research areas. A \$2 million balance was carried forward for use in FY2009 (see FY2008 MEIF R&D Report).

## **UNIVERSITY COST SHARING EXPENDITURES**

- Total cost sharing in FY2009 was \$28 million of which 61% was associated with activities of the Maine Agricultural and Forest Experiment Station, the UM Cooperative Extension, and the USM Muskie School of Public Service.
- As in previous years, the majority (over 60%) of cost sharing expenditures was for Federally funded grants and contracts.

## **FACILITIES AND ADMINISTRATIVE (F&A) COSTS AND RECOVERY**

- Total allowable F&A costs for FY2009 were \$23 million with \$13 million (57%) in net F&A cost recovery.
- The Federal government continued as the largest contributor to F&A costs, providing \$8 million (62%) of net F&A recovery.

## **PROPOSAL ACTIVITY**

- Proposals submitted to outside sponsors increased from 1006 in FY2008 to 1060 in FY2009.
- The number of approved proposals remained relatively constant with 689 in FY2008 and 688 in FY2009; however, the value increased by \$5 million, totaling \$112 million in FY 2009.
- In FY2009, the University received 3 ARRA funded grant awards totaling \$1.3 million.

# REVENUES

By their nature, grant and contract revenues are used only to meet expenses and are not profit-generating. Total revenues and expenditures for FY2009 were \$133 million, a decrease of \$1 million (-0.7%) from FY2008 and, as seen below, a decrease of \$5 million (-3.6%) from FY2005. (University cost sharing contributions will be discussed on page 9.)

## GRANT AND CONTRACT REVENUES BY SOURCE (\$ in millions)

	FY2005		FY2006		FY2007		FY2008		FY2009	
	\$	%	\$	%	\$	%	\$	%	\$	%
<b>Federal</b>	\$ 50	36	\$ 52	39	\$ 54	39	\$ 52	39	\$ 53	40
<b>State *</b>	34	25	32	24	33	24	32	24	31	23
<b>Private *</b>	21	15	21	16	21	15	21	15	21	16
<b>University cost sharing</b>	33	24	27	21	30	22	29	22	28	21
<b>Total</b>	<u>\$ 138</u>	<u>100</u>	<u>\$ 132</u>	<u>100</u>	<u>\$ 138</u>	<u>100</u>	<u>\$ 134</u>	<u>100</u>	<u>\$ 133</u>	<u>100</u>

\* Includes federal pass-through funds (see pages 5 and 6).

## GRANT & CONTRACT REVENUES BY SOURCE (\$ in millions)



## REVENUES (continued)

Almost half (46% or \$61 million) of grant and contract revenues in FY2009 are attributable to the activities of the Maine Agricultural and Forest Experiment Station (MAFES), the UM Cooperative Extension Service (CES), and the USM Muskie School of Public Service (MSPS). These three groups also accounted for 61% of the total \$28 million in University cost sharing.

### GRANT AND CONTRACT REVENUES BY SOURCE FOR MAFES, CES, AND MSPS FY2009 (\$ in millions)

	<u>MAFES</u>	<u>CES</u>	<u>MSPS</u>	<u>Total</u>
Federal	\$ 6	\$ 4	\$ 8	\$ 18
State	1	3	14	18
Private	1	1	6	8
University cost sharing	7	6	4	17
<b>Total</b>	<u>\$ 15</u>	<u>\$ 14</u>	<u>\$ 32</u>	<u>\$ 61</u>

## EXPENDITURES

Grant and contract revenues are recognized to the extent of allowable expenditures. As seen below, expenditures by campus over the five year period ended June 30, 2009 have been relatively consistent.

### GRANT AND CONTRACT EXPENDITURES BY CAMPUS (\$ in millions)

	<u>FY2005</u>	<u>FY2006</u>	<u>FY2007</u>	<u>FY2008</u>	<u>FY2009</u>
University of Maine Augusta	\$ 2	\$ 2	\$ 3	\$ 3	\$ 3
University of Maine Farmington	3	3	4	4	3
University of Maine Fort Kent	1	-	-	-	-
University of Maine Machias	-	-	-	-	-
University of Maine	74	72	76	75	76
University of Southern Maine	53	50	51	48	46
University of Maine Presque Isle	1	1	1	1	2
System-Wide Services	4	4	3	3	3
<b>Total</b>	<u>\$ 138</u>	<u>\$ 132</u>	<u>\$ 138</u>	<u>\$ 134</u>	<u>\$ 133</u>

## EXPENDITURES (continued)

The distribution of total grant and contract expenditures over instruction, research, and public service areas has also remained consistent over the past five years, with research activities accounting for over 50% of total expenditures.

### GRANT AND CONTRACT EXPENDITURES BY ACTIVITY (\$ in millions)

	FY2005		FY2006		FY2007		FY2008		FY2009	
	\$	%	\$	%	\$	%	\$	%	\$	%
Instruction	\$ 24	17	\$ 22	17	\$ 23	17	\$ 20	15	\$ 17	13
Research	73	53	69	52	72	52	72	54	70	53
Public Service	41	30	41	31	43	31	42	31	46	34
<b>Total</b>	<b>\$ 138</b>	<b>100</b>	<b>\$ 132</b>	<b>100</b>	<b>\$ 138</b>	<b>100</b>	<b>\$ 134</b>	<b>100</b>	<b>\$ 133</b>	<b>100</b>

Although not reflected in the table below due to rounding, all campuses within the University of Maine System have some level of grant and contract activity. The University of Maine and the University of Southern Maine accounted for 92% of total FY2009 grant and contract expenditures. Expenditures for these two campuses were, however, concentrated in different areas. At the University of Maine, 70% of total grant and contract expenditures were for research while the University of Southern Maine's expenditures were spread more evenly among instruction, research, and public service.

### GRANT AND CONTRACT EXPENDITURES BY ACTIVITY BY CAMPUS FY2009 (\$ in millions)

	INSTRUCTION		RESEARCH		PUBLIC SERVICE		TOTAL	
	\$	%	\$	%	\$	%	\$	%
University of Maine Augusta	\$ 1	6	\$ -	-	\$ 2	4	\$ 3	2
University of Maine Farmington	1	6	1	1	1	2	3	2
University of Maine Fort Kent	-	-	-	-	-	-	-	-
University of Maine Machias	-	-	-	-	-	-	-	-
University of Maine	3	18	53	76	20	46	76	57
University of Southern Maine	10	58	16	23	20	44	46	35
University of Maine Presque Isle	-	-	-	-	2	2	2	2
System-Wide Services	2	12	-	-	1	2	3	2
<b>Total</b>	<b>\$ 17</b>	<b>100</b>	<b>\$ 70</b>	<b>100</b>	<b>\$ 46</b>	<b>100</b>	<b>\$ 133</b>	<b>100</b>



## FEDERAL REVENUES

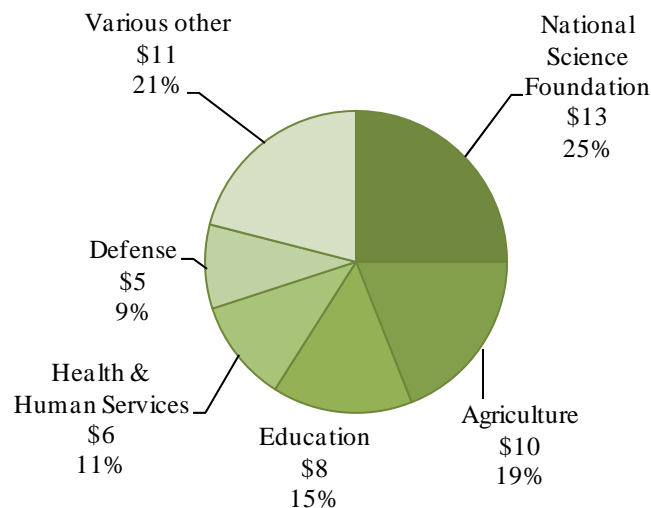
The table and graph below further identify grant and contract revenues by Federal agency. Only those agencies consistently providing \$5 million or more each year are individually presented. The National Science Foundation was the top source of Federal revenues again in FY2009, followed by the Departments of Agriculture and Education. These three agencies combined have provided nearly 50% or more of the total Federal revenues for each of the past five years.

The MAFES and CES operations accounted for \$8 million or 80% of the total revenues received from the Department of Agriculture in FY2009. The MSPS received \$8 million in federal funding, of which 83% was received from the Department of Health and Human Services, the Department of Justice, and the Department of Education.

### FEDERAL REVENUES BY AGENCY (\$ in millions)

Agency	FY2005		FY2006		FY2007		FY2008		FY2009	
	\$	%	\$	%	\$	%	\$	%	\$	%
National Science Foundation	\$ 12	24	\$ 11	21	\$ 13	24	\$ 13	25	\$ 13	25
Agriculture	6	12	8	15	10	19	9	17	10	19
Education	9	18	7	13	8	15	8	15	8	15
Health & Human Services	5	10	6	12	6	11	6	12	6	11
Defense	7	14	7	13	7	13	7	13	5	9
Various other	11	22	13	26	10	18	9	18	11	21
<b>Total</b>	<b>\$ 50</b>	<b>100</b>	<b>\$ 52</b>	<b>100</b>	<b>\$ 54</b>	<b>100</b>	<b>\$ 52</b>	<b>100</b>	<b>\$ 53</b>	<b>100</b>

### FEDERAL REVENUES BY AGENCY FY2009 (in millions)



## STATE REVENUES

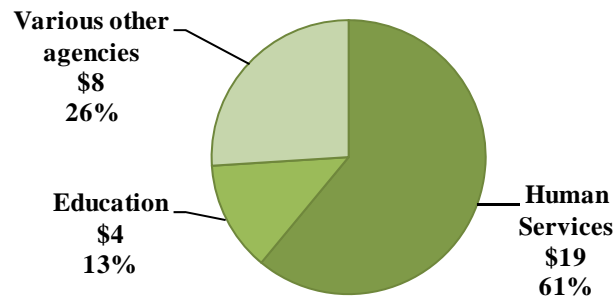
The table and graph below further identify grant and contract revenues by State of Maine agency. These totals include both State funds and Federal funds passed through the State to the University. Agencies consistently providing \$2 million or more each year are presented individually. The Department of Human Services continues to be the major source of revenues from the State.

The MSPS received \$14 million or 44% of their total grant and contract revenues from the State of Maine in FY2009. The Department of Human Services provided the largest portion of MSPS funding - \$12 million or 86% of total State funding. The remaining \$2 million of MSPS State funding came from various other agencies, including the Department of Corrections and the Maine State Planning Office.

### STATE REVENUES BY AGENCY (\$ in millions)

Agency	FY2005		FY2006		FY2007		FY2008		FY2009	
	\$	%	\$	%	\$	%	\$	%	\$	%
Human Services	\$ 18	53	\$ 20	62	\$ 22	67	\$ 20	63	\$ 19	61
Education	4	12	4	13	4	12	4	12	4	13
Various other agencies	12	35	8	25	7	21	8	25	8	26
<b>Total *</b>	<b>\$ 34</b>	<b>100</b>	<b>\$ 32</b>	<b>100</b>	<b>\$ 33</b>	<b>100</b>	<b>\$ 32</b>	<b>100</b>	<b>\$ 31</b>	<b>100</b>
* Includes Federal Pass-through funds of	<u>\$ 24</u>	<u>71</u>	<u>\$ 22</u>	<u>69</u>	<u>\$ 26</u>	<u>79</u>	<u>\$ 25</u>	<u>78</u>	<u>\$ 23</u>	<u>74</u>

### STATE REVENUES BY AGENCY FY2009 (in millions)



## PRIVATE REVENUES

The table and graph below present grant and contract revenues from private sources classified by four major categories which represent almost 350 different entities. Non-profit organizations continue to provide the majority of private revenues.

The MSPS received \$6 million or 19% of their total grant and contract revenues from private sources in FY2009.

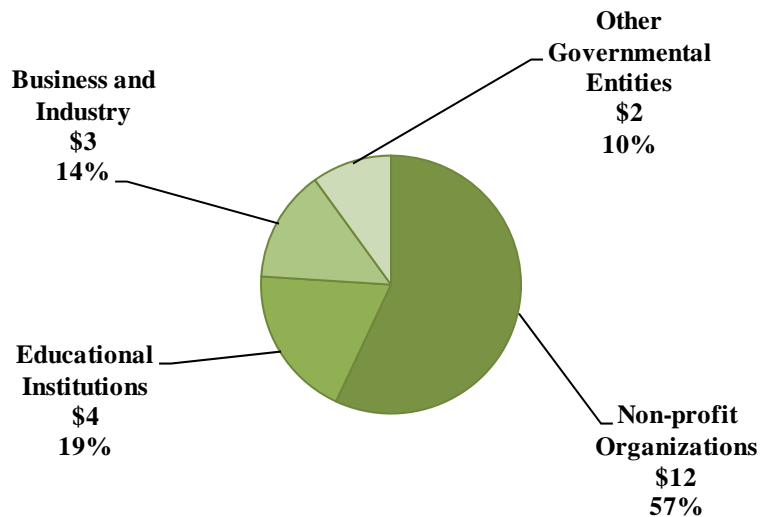
**PRIVATE REVENUES BY SOURCE**  
(\$ in millions)

	FY2005		FY2006		FY2007		FY2008		FY2009	
	\$	%	\$	%	\$	%	\$	%	\$	%
Non-profit Organizations	\$ 13	63	\$ 12	57	\$ 11	53	\$ 11	53	\$ 12	57
Educational Institutions	3	14	4	19	4	19	4	19	4	19
Business and Industry	2	11	3	14	3	14	3	14	3	14
Other Governmental Entities*	<u>3</u>	<u>12</u>	<u>2</u>	<u>10</u>	<u>3</u>	<u>14</u>	<u>3</u>	<u>14</u>	<u>2</u>	<u>10</u>
<b>Total</b>	<b>\$ 21</b>	<b>100</b>	<b>\$ 21</b>	<b>100</b>	<b>\$ 21</b>	<b>100</b>	<b>\$ 21</b>	<b>100</b>	<b>\$ 21</b> **	<b>100</b>

\* Entities other than the Federal Government and State of Maine agencies.

\*\* Includes Federal Pass-Through funds of \$10 million.

**PRIVATE REVENUES BY SOURCE**  
**FY2009**  
(in millions)



## RESEARCH EXPENDITURES

The University's research activities include not only research conducted under grants and contracts, but also institutes, research centers, and project research funded by Educational and General (E&G) monies, as well as projects funded with the State of Maine Research and Development Appropriation [related to the Maine Economic Improvement Fund (MEIF)]. Total expenditures for research related activities in FY2009 were \$92 million, a growth of \$3 million or 3% since FY2005

The following table shows total research expenditures funded by grants and contracts, E&G monies, and State R&D Appropriation from FY2005 to FY2009. Research expenditures related to grants and contracts are separated by funding source: Federal, State, Private, and University cost sharing.

### TOTAL RESEARCH EXPENDITURES BY SOURCE (\$ in millions)

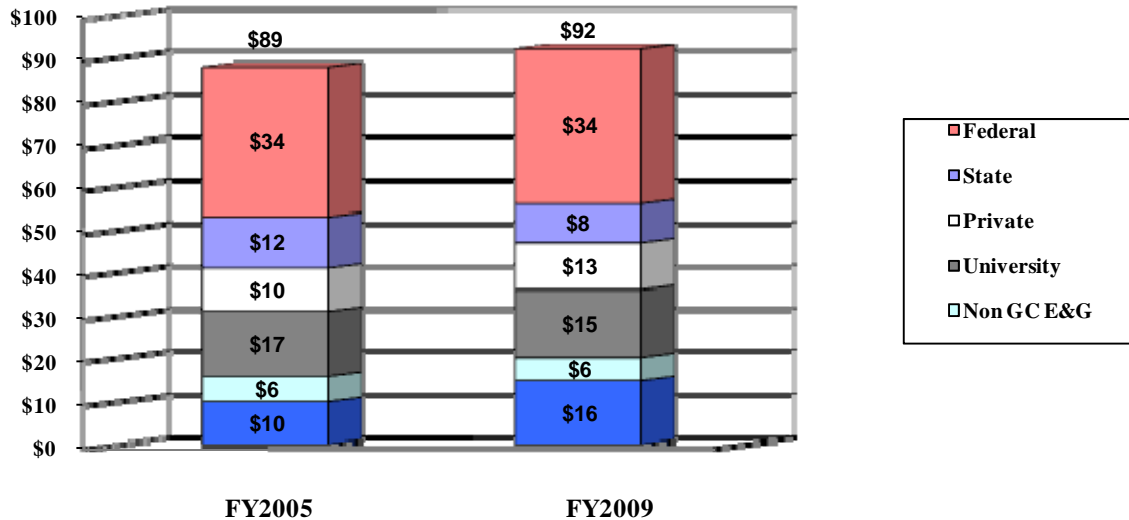
	<u>FY2005</u>	<u>FY2006</u>	<u>FY2007</u>	<u>FY2008</u>	<u>FY2009</u>
<b>Grants and Contracts:</b>					
<b>Federal</b>	\$ 34	\$ 34	\$ 35	\$ 36	\$ 34
<b>State</b>	12	10	11	9	8
<b>Private</b>	10	12	10	11	13
<b>University</b>					
<b>MAFES Cost Sharing</b>	8	7	7	8	7
<b>Other Direct Cost Sharing</b>	4	3	4	3	4
<b>Other Indirect Cost Sharing</b>	5	3	5	5	4
	<u>17</u>	<u>13</u>	<u>16</u>	<u>16</u>	<u>15</u>
<b>Total Grants and Contracts</b>	<u>\$ 73</u>	<u>\$ 69</u>	<u>\$ 72</u>	<u>\$ 72</u>	<u>\$ 70</u>
<b>Non Grants and Contracts:</b>					
<b>E&amp;G</b>	6	5	5	5	6
<b>State R&amp;D Appropriation</b>	10	13	14	15	16
<b>Total E&amp;G and State R&amp;D Appropriation</b>	<u>\$ 16</u>	<u>\$ 18</u>	<u>\$ 19</u>	<u>\$ 20</u>	<u>\$ 22</u>
<b>Total Research Expenditures</b>	<u>\$ 89</u>	<u>\$ 87</u>	<u>\$ 91</u>	<u>\$ 92</u>	<u>\$ 92</u> *

\* As noted at the top of this page, the University's research activities include not only grants and contracts research (see page 3 of this report), but also research funded by E&G monies and State R&D appropriations. This total represents the costs of all research activities.

## RESEARCH EXPENDITURES (continued)

The graph below shows a comparison of total research expenditures by source for FY2005 and FY2009. Total expenditures have grown \$3 million (or 3%) to \$92 million during this period.

**TOTAL RESEARCH EXPENDITURES BY SOURCE**  
(\$ in millions)



The table below shows a comparison of research expenditures by New England Land Grant institutions. UM ranked fourth in total research expenditures and third in research expenditures per faculty headcount.

### RESEARCH EXPENDITURES AT NEW ENGLAND LAND GRANT INSTITUTIONS\*

	<u>Total Research Expenditures FY2009</u> (\$ in millions)	<u>Research \$ Per Total Faculty Headcount</u> (\$ in thousands)
University of Rhode Island	\$ 71	\$ 101
University of New Hampshire	\$ 99	\$ 89
University of Maine	\$ 72 **	\$ 78
University of Vermont	\$ 95	\$ 66
University of Massachusetts - Amherst	\$ 100	\$ 64
University of Connecticut	Not available	Not available

\* Excludes indirect cost recovery and plant fund expenditures for consistency at all institutions.

\*\* Includes expenditures funded by the State research and development appropriation.

## UNIVERSITY COST SHARING

Many sponsoring agencies, both governmental and private, require recipients to share in total project costs. University cost sharing (or matching) is the contribution of University resources in support of a grant or contract. **Direct** cost sharing occurs when University funds are used to fulfill a matching requirement of costs directly associated with an individual grant or contract. **Facilities and Administrative (F&A)** (also known as indirect) cost sharing occurs when the allowable facilities and administrative costs are not fully recovered in order to meet sponsor matching requirements.

As seen in the chart below and in the table on the following page, in FY2009, the University provided \$18 million in direct cost sharing and \$10 million in F&A cost sharing for grants and contracts. Since FY2005, total cost sharing as a percentage of total grant and contract expenditures has remained relatively constant, ranging from 21% to 24%. In FY2009, total cost sharing was 21% of total grant and contract expenditures.

Educational & General matching funds for the federal appropriations received by the MAFES and CES operations accounted for \$13 million or 72% of total direct cost sharing in FY2009. Direct and F&A cost sharing for MAFES, CES, and MSPS totaled \$17 million or 61% of the \$28 million in total University cost sharing.

**University Cost Sharing**  
(\$ in millions)



## UNIVERSITY COST SHARING (continued)

Over the past five years, the vast majority (88% - 90%) of the University's **direct** cost sharing related to federally funded grants and contracts. In contrast, the majority (50% - 60%) of **F&A** cost sharing is related to State of Maine funded grants and contracts. Research activities have historically accounted for about half (48 to 55%) of total cost sharing (both direct and F&A).

### COST SHARING BY FUNDING SOURCE

(\$ in millions)

	<u>FY2005</u>		<u>FY2006</u>		<u>FY2007</u>		<u>FY2008</u>		<u>FY2009</u>	
	<u>\$</u>	<u>%</u>	<u>\$</u>	<u>%</u>	<u>\$</u>	<u>%</u>	<u>\$</u>	<u>%</u>	<u>\$</u>	<u>%</u>
<b><u>DIRECT COSTS</u></b>										
Federal	\$ 19	90	\$ 15	88	\$ 17	90	\$ 17	90	\$ 16	88
State	1	5	1	6	1	5	1	5	1	6
Private	1	5	1	6	1	5	1	5	1	6
<b>Total</b>	<b>\$ 21</b>	<b>100</b>	<b>\$ 17</b>	<b>100</b>	<b>\$ 19</b>	<b>100</b>	<b>\$ 19</b>	<b>100</b>	<b>\$ 18</b>	<b>100</b>
<b><u>F &amp; A COSTS</u></b>										
Federal	\$ 3	25	\$ 3	30	\$ 2	18	\$ 2	20	\$ 3	30
State	7	58	6	60	6	55	6	60	5	50
Private	2	17	1	10	3	27	2	20	2	20
<b>Total</b>	<b>\$ 12</b>	<b>100</b>	<b>\$ 10</b>	<b>100</b>	<b>\$ 11</b>	<b>100</b>	<b>\$ 10</b>	<b>100</b>	<b>\$ 10</b>	<b>100</b>
<b><u>TOTAL</u></b>										
Federal	\$ 22	67	\$ 18	67	\$ 19	64	\$ 19	66	\$ 19	68
State	8	24	7	26	7	23	7	24	6	21
Private	3	9	2	7	4	13	3	10	3	11
<b>Total</b>	<b>\$ 33</b>	<b>100</b>	<b>\$ 27</b>	<b>100</b>	<b>\$ 30</b>	<b>100</b>	<b>\$ 29</b>	<b>100</b>	<b>\$ 28</b>	<b>100</b>
<b>Total Cost Sharing as a % of Total Grants and Contracts</b>	<b>24%</b>		<b>21%</b>		<b>22%</b>		<b>22%</b>		<b>21%</b>	

### COST SHARING BY ACTIVITY

(\$ in millions)

<b><u>ACTIVITY</u></b>	<u>FY2005</u>		<u>FY2006</u>		<u>FY2007</u>		<u>FY2008</u>		<u>FY2009</u>	
	<u>\$</u>	<u>%</u>	<u>\$</u>	<u>%</u>	<u>\$</u>	<u>%</u>	<u>\$</u>	<u>%</u>	<u>\$</u>	<u>%</u>
Instruction	\$ 4	12	\$ 4	15	\$ 3	10	\$ 3	10	\$ 3	11
Research	17	52	13	48	16	53	16	55	15	53
Public Service	12	36	10	37	11	37	10	35	10	36
<b>Total</b>	<b>\$ 33</b>	<b>100</b>	<b>\$ 27</b>	<b>100</b>	<b>\$ 30</b>	<b>100</b>	<b>\$ 29</b>	<b>100</b>	<b>\$ 28</b>	<b>100</b>

**FACILITIES AND ADMINISTRATIVE COST  
AND BENEFIT RATES**

The University is required to negotiate rates with the Federal government to recover employee benefits costs and facilities and administrative costs associated with grant and contract activities. These rates are negotiated on a system-wide basis using past and estimated future costs. Facilities and administrative cost rates are generally negotiated for a four-year period and are currently negotiated at the amounts shown below through June 30, 2011. In addition, the employee benefit rate is negotiated annually and the approved rates are shown below for the five year period ended June 30, 2010.

**FEDERAL FACILITIES AND ADMINISTRATIVE COST RATES**

<b><u>RATE TYPE</u></b>	<b><u>FY2008</u></b>	<b><u>FY2009</u></b>	<b><u>FY2010</u></b>	<b><u>FY2011</u></b>
<b>Research</b>				
<b>On-Campus</b>	<b>48.5%</b>	<b>49.0%</b>	<b>49.0%</b>	<b>49.5%</b>
<b>Off-Campus</b>	<b>26.0%</b>	<b>26.0%</b>	<b>26.0%</b>	<b>26.0%</b>
<b>Instruction</b>				
<b>On-Campus</b>	<b>52.8%</b>	<b>52.8%</b>	<b>52.8%</b>	<b>52.8%</b>
<b>Off-Campus</b>	<b>26.0%</b>	<b>26.0%</b>	<b>26.0%</b>	<b>26.0%</b>
<b>Other Sponsored Activities</b>				
<b>On-Campus</b>	<b>26.8%</b>	<b>26.8%</b>	<b>26.8%</b>	<b>26.8%</b>
<b>Off-Campus</b>	<b>16.7%</b>	<b>16.7%</b>	<b>16.7%</b>	<b>16.7%</b>

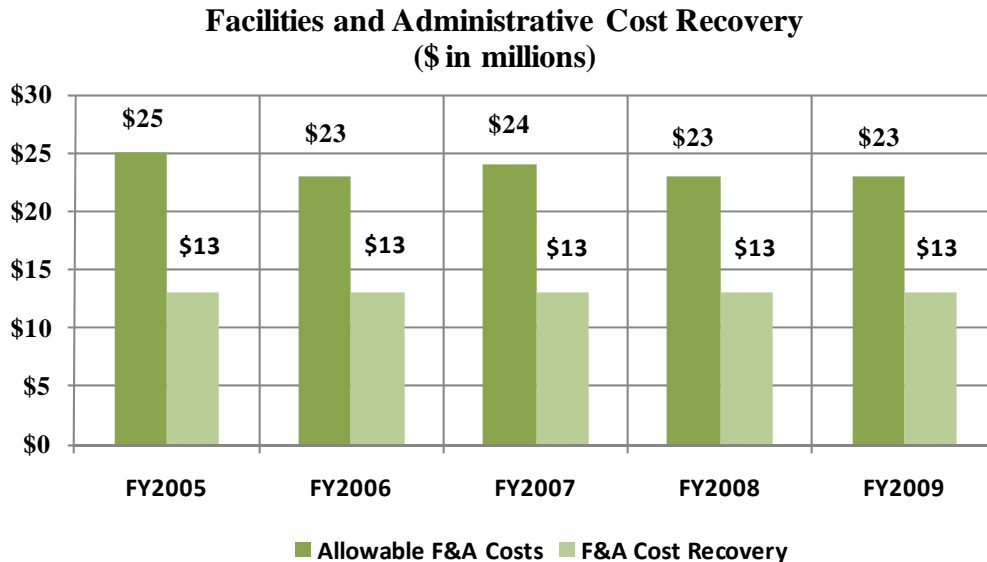
**FEDERAL EMPLOYEE BENEFIT RATES  
Applied to All Non-Student Salaries and Wages**

	<b><u>FY2006</u></b>	<b><u>FY2007</u></b>	<b><u>FY2008</u></b>	<b><u>FY2009</u></b>	<b><u>FY2010</u></b>
<b>Nonfaculty temporary employees</b>	<b>8.4%</b>	<b>8.5%</b>	<b>8.5%</b>	<b>8.3%</b>	<b>8.5%</b>
<b>All other employees</b>	<b>40.8%</b>	<b>40.0%</b>	<b>40.3%</b>	<b>42.3%</b>	<b>48.4%</b>



## FACILITIES AND ADMINISTRATIVE COST RECOVERY

The amount of allowable F&A costs is calculated on each grant or contract using the appropriate negotiated rate as previously described. The rate is applied to the modified total direct costs (MTDC)<sup>1</sup> of an individual grant or contract to arrive at the total allowable F&A costs. Total allowable F&A costs for FY2009 were \$23 million. However, as shown in the chart below, net recovery of F&A totaled \$13 million or 57%.



The Federal government is the University's major source of F&A cost recovery providing between 54% and 62% of total recovery since FY2005. Research activities have provided the vast majority of total recoveries since FY2005.

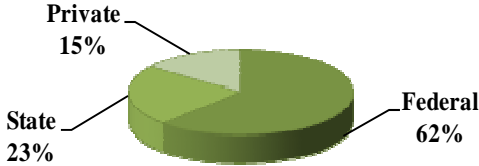
### FACILITIES AND ADMINISTRATIVE COST RECOVERY BY SOURCE/ACTIVITY (\$ in millions)

	FY2005		FY2006		FY2007		FY2008		FY2009	
	\$	%	\$	%	\$	%	\$	%	\$	%
<b><u>SOURCE:</u></b>										
Federal	\$ 7	54	\$ 8	62	\$ 7	54	\$ 8	62	\$ 8	62
State	4	31	3	23	4	31	3	23	3	23
Private	2	15	2	15	2	15	2	15	2	15
<b>Total</b>	<b>\$ 13</b>	<b>100</b>	<b>\$ 13</b>	<b>100</b>	<b>\$ 13</b>	<b>100</b>	<b>\$ 13</b>	<b>100</b>	<b>\$ 13</b>	<b>100</b>
<b><u>ACTIVITY:</u></b>										
Instruction	\$ 2	15	\$ 2	15	\$ 2	15	\$ 1	8	\$ 1	8
Research	9	70	9	70	9	70	10	77	10	77
Public Service	2	15	2	15	2	15	2	15	2	15
<b>Total</b>	<b>\$ 13</b>	<b>100</b>	<b>\$ 13</b>	<b>100</b>	<b>\$ 13</b>	<b>100</b>	<b>\$ 13</b>	<b>100</b>	<b>\$ 13</b>	<b>100</b>

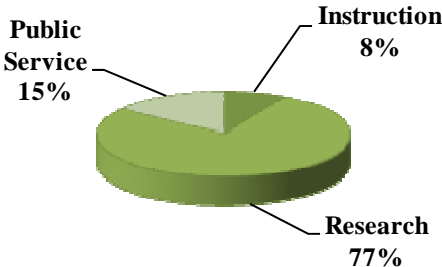
<sup>1</sup> Modified total direct costs, defined by Federal cost principles, consists of all salaries and wages, fringe benefits, materials, supplies, services, travel, subgrants and subcontracts up to the first 25,000 of each subgrant and subcontract. Equipment, capital expenditures, charges for patient care and tuition remission, rental costs, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000 shall be excluded from modified total direct costs.

**FACILITIES AND ADMINISTRATIVE COST RECOVERY (continued)**

**NET FACILITIES & ADMINISTRATIVE COST RECOVERY BY SOURCE  
FY2009**



**NET FACILITIES & ADMINISTRATIVE COST RECOVERY BY ACTIVITY  
FY2009**

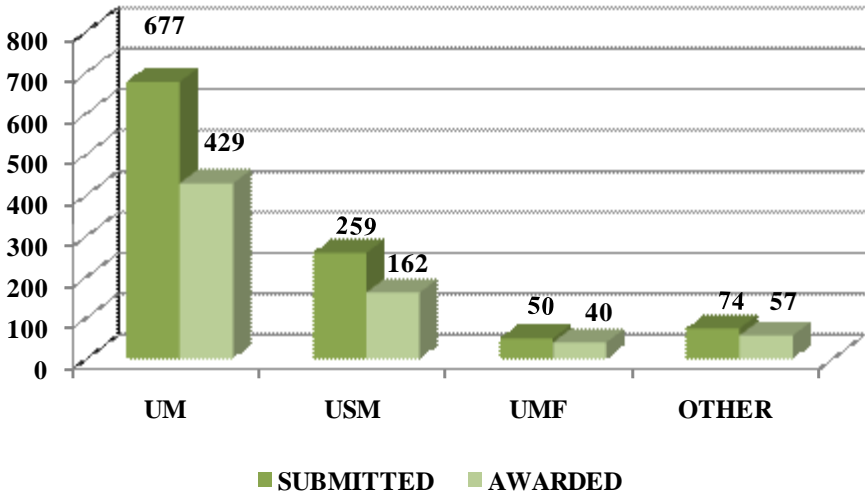


**PROPOSALS SUBMITTED AND AWARDED**

Grant proposals are submitted to outside agencies for specific programs. Proposals for contracts are negotiated with agencies and companies to provide specific services. All proposals submitted are not awarded, and those which are awarded are not funded until the year services are provided. Thus, the dollar amount of proposals awarded during the current year does not directly correlate to current year expenditures, since expenditures may occur over a multi-year period.

During FY2009, Sponsored Program departments throughout the University of Maine System submitted 1,060 proposals to agencies for funding of a wide range of grants and contracts. The value of proposals awarded in FY2009 totaled \$112 million. Proposal activity by campus is shown below and on the next page.

**NUMBER OF PROPOSALS SUBMITTED & AWARDS RECEIVED BY CAMPUS  
FY2009**



## PROPOSAL STATUS REPORT

Proposals approved during FY2009 may include prior year submissions and some FY2009 submissions may be included in future year approvals.

	SUBMITTED DURING FY2009	APPROVED DURING FY2009	
	#	#	\$ in millions
<b>UNIVERSITY OF MAINE</b>			
College of Business, Public Policy, and Health	38	18	*
College of Education and Human Development	45	43	7
College of Engineering	102	49	12
College of Liberal Arts and Sciences	68	33	5
College of Natural Sciences, Forestry, & Agriculture	274	179	20
Cooperative Extension	70	47	4
Division of Lifelong Learning	3	3	*
Research	63	48	8
Other University Programs	14	9	*
Total	677	429	\$ 57
<b>UNIVERSITY OF SOUTHERN MAINE</b>			
College of Arts & Sciences	14	15	2
College of Education & Human Development	19	16	3
Muskie School of Public Service	103	65	30
School of Applied Science, Engineering & Technology	50	23	4
School of Business	19	15	2
College of Nursing & Health Professions	6	3	*
Other University Programs	48	25	2
Total	259	162	\$ 45
UNIVERSITY OF MAINE AT FARMINGTON	50	40	\$ 3
UNIVERSITY OF MAINE AT AUGUSTA	37	22	3
UNIVERSITY OF MAINE AT PRESQUE ISLE	14	12	\$ 1
UNIVERSITY OF MAINE AT MACHIAS	11	12	*
UNIVERSITY OF MAINE AT FORT KENT	7	6	*
SYSTEM WIDE SERVICES	5	5	*
<b>SYSTEM TOTALS FOR FY2009</b>	<b>1,060</b>	<b>688</b>	<b>\$ 112</b>
<b>SYSTEM TOTALS FOR FY2008</b>	<b>1,006</b>	<b>689</b>	<b>\$ 107</b>

\* The campus or department received grants totaling less than \$1 million. Subtotals and totals for the value of approved proposals are based on actuals.