

The University of Maine

DigitalCommons@UMaine

---

General University of Maine Publications

University of Maine Publications

---

9-2005

## FY 2005 Annual Report on Gifts and Fund Raising

University of Maine System

Follow this and additional works at: [https://digitalcommons.library.umaine.edu/univ\\_publications](https://digitalcommons.library.umaine.edu/univ_publications)



Part of the [Higher Education Commons](#), and the [History Commons](#)

---

### Repository Citation

University of Maine System, "FY 2005 Annual Report on Gifts and Fund Raising" (2005). *General University of Maine Publications*. 462.

[https://digitalcommons.library.umaine.edu/univ\\_publications/462](https://digitalcommons.library.umaine.edu/univ_publications/462)

This Report is brought to you for free and open access by DigitalCommons@UMaine. It has been accepted for inclusion in General University of Maine Publications by an authorized administrator of DigitalCommons@UMaine. For more information, please contact [um.library.technical.services@maine.edu](mailto:um.library.technical.services@maine.edu).

# **FY2005 ANNUAL REPORT ON GIFTS AND FUND RAISING**



*Maine's  
Public  
Universities*

---

UNIVERSITY OF MAINE SYSTEM

**SEPTEMBER 2005**

**UNIVERSITY OF MAINE SYSTEM  
FY2005 ANNUAL REPORT ON GIFTS AND FUND RAISING**

Table of Contents

|   |            |
|---|------------|
| <b>Summary</b>  | 1          |
| <br>  |            |
| <b>Summary of Gifts Given to the University System</b>                                      |            |
| Graphs of FY2005 Gifts by University and by Donor Type                                      | 3          |
| Graphs of FY2005 Gifts by Purpose and by Restriction Type                                   | 4          |
| Cash Gifts by University by Donor Type, FY2001 - FY2005                                     | 5          |
| UMS Total Gift Assets, FY2001 - FY2005  | 6          |
| <br>  |            |
| <b>Financial Status of Fund Raising Campaigns</b>   | 7          |
| <br>  |            |
| <b>Summary of University Affiliated Fund Raising Organization Assets and Gifts</b>          |            |
| Cash Gifts and Year End Total Assets by University Affiliated Fund<br>Raising Organizations | 8          |
| Cash Gifts Received by University Affiliated Fund Raising Organizations                     | 9          |
| University Affiliated Fund Raising Organizations  | Appendix A |

# UNIVERSITY OF MAINE SYSTEM FY2005 ANNUAL REPORT ON GIFTS AND FUND RAISING

## I. SUMMARY OF GIFTS GIVEN TO THE UNIVERSITY

UMS Board of Trustees policy on Acceptance of Gifts, Development Activities, & Fund Raising Campaigns requires that each University President submit an annual fund raising activity report to the Chancellor for consolidation and submission to the Board of Trustees for approval at the September meeting. The FY2005 Annual Report on Gifts and Fund Raising provides a summary of gifts received by the Universities in Fiscal Year ending June 30, 2005 for Board of Trustees approval. All gifts received fulfill the policy requirements of the Board of Trustees and follow the intent of the donors. It is the intention of the Board of Trustees to ensure that the System or its universities are prohibited from accepting funds from any source that would interfere with or otherwise restrict the academic freedoms of these institutions.

Excluded from this section of the report are gifts to University affiliated organizations (e.g., University of Maine Foundation, UM General Alumni Association, University of Maine at Farmington Alumni Foundation). Included are gifts given directly to the University and gifts given to the University from affiliated organizations.

FY2005 gifts of cash totaled \$17,764,829. Significant gifts at some of the Universities include:

- \$1.4 million from the estate of Frances A. Black for the Community Education Center at the University of Maine at Farmington;
- \$750,000 from the Foundation of the University at Presque Isle for the Caroline D. Gentile Health, Physical Education and Recreation Complex;
- \$1.2 million from the University of Southern Maine Foundation for construction of the Joel & Linda Abromson Community Education Center;
- and \$400,000 from the estate of William N. Forman for various construction projects at the University of Maine, including Honors Center Renovations, the Visual Arts Building, the Wes Jordan Athletic Training Facility and the Equine and Livestock Pavilion.

Of the total gifts, 34 percent came from alumni and friends, 12 percent from corporations, 52 percent from foundations (including the UM Foundation), alumni associations and other non-profit organizations and 2 percent came from other sources. Gifts for student financial aid account for 34% of all gifts received.

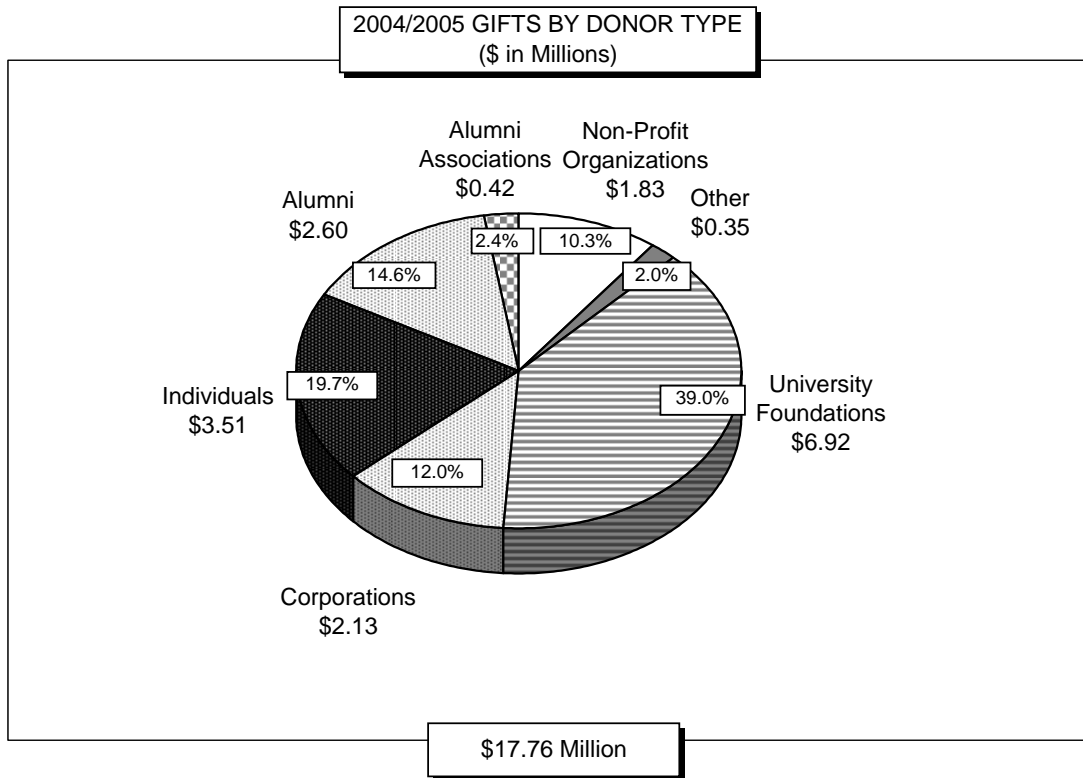
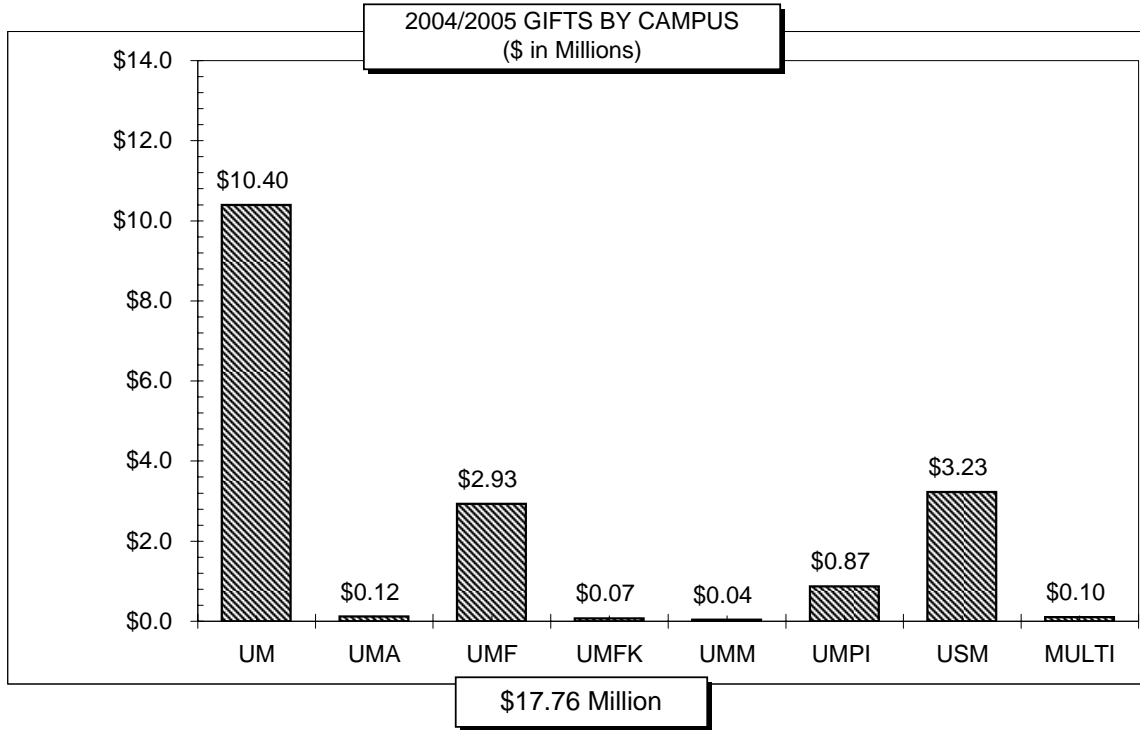
## **II. FINANCIAL STATUS OF FUND RAISING CAMPAIGNS**

The financial status of university and affiliated organization capital campaigns as of June 30, 2005, is presented on page 7. The actual gifts and pledges received for these campaigns total \$34.5 million toward a total goal of \$81.3 million.

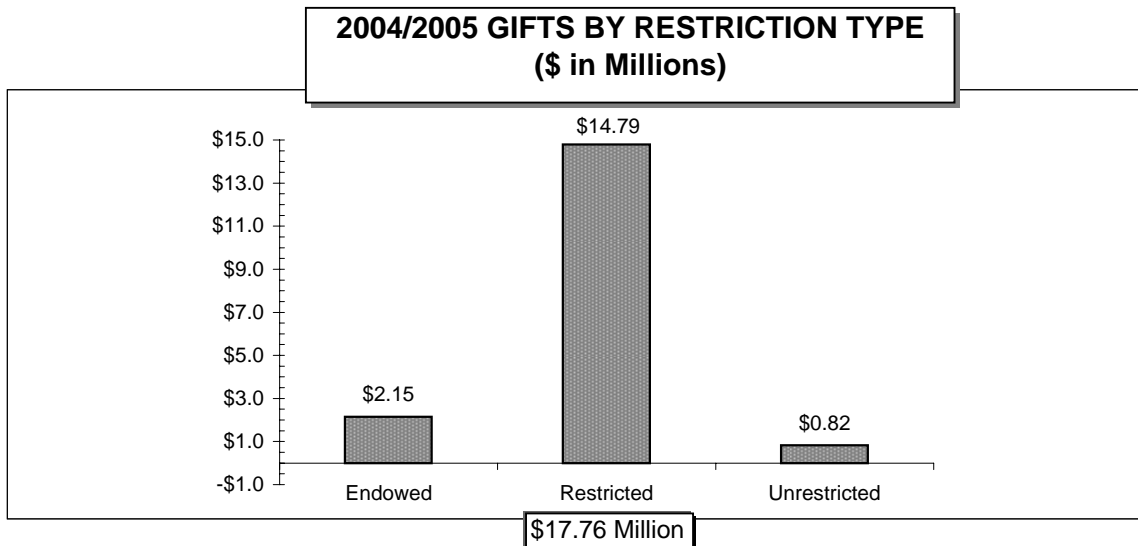
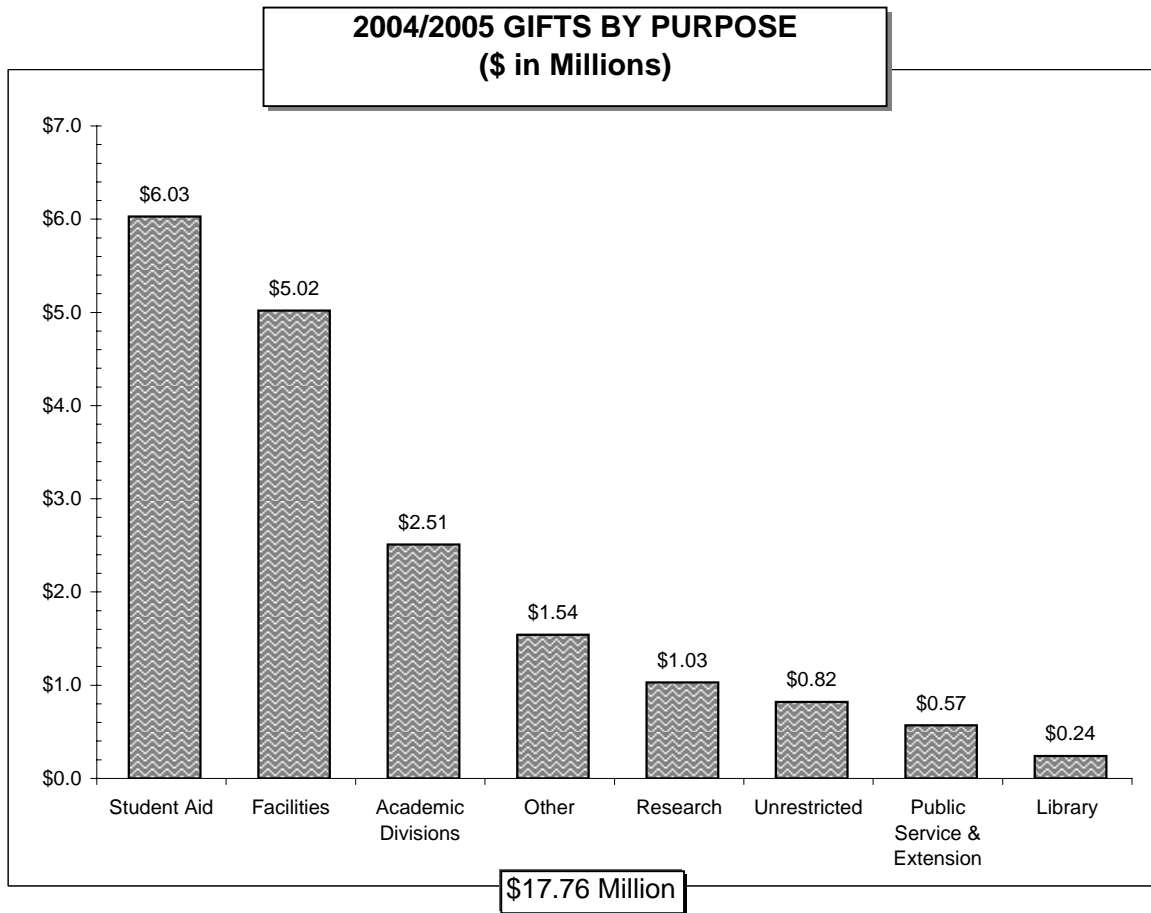
## **III. SUMMARY OF UNIVERSITY AFFILIATED FUND RAISING ORGANIZATION ASSETS AND GIFTS**

For external University Affiliated Organizations, the Year End Total Assets and Cash Gifts Received for FY2001 through FY2005 are presented on page 8. Total assets for these organizations have grown steadily from \$130 million in FY2002 to \$178 million in FY2005. Total gifts received were approximately \$8 million for FY2001 through FY2003 then increased to \$14 million for FY2004 and FY2005

**UNIVERSITY OF MAINE SYSTEM  
FY2005 ANNUAL REPORT ON GIFTS AND FUND RAISING**



**UNIVERSITY OF MAINE SYSTEM  
FY2005 ANNUAL REPORT ON GIFTS AND FUND RAISING**



UNIVERSITY OF MAINE SYSTEM  
FY2005 ANNUAL REPORT ON GIFTS AND FUND RAISING

|                       | FY2001               | FY2002               | FY2003               | FY2004               | FY2005               |
|-----------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <b>UM</b>             |                      |                      |                      |                      |                      |
| Alumni                | \$ 834,958           | \$ 589,840           | \$ 466,883           | \$ 1,500,748         | \$ 1,804,183         |
| Individuals           | 413,890              | 336,176              | 1,980,775            | 700,121              | 510,678              |
| Corporations          | 1,773,578            | 1,594,525            | 2,356,224            | 1,774,954            | 1,472,870            |
| Alumni Association    | 419,519              | 650,247              | 706,240              | 646,025              | 418,727              |
| University Foundation | 4,190,640            | 4,445,957            | 3,947,848            | 3,355,494            | 4,654,238            |
| Non-Profit            | 973,922              | 1,446,687            | 1,692,878            | 1,403,109            | 1,385,292            |
| Other                 | 270,656              | 219,786              | 665,556              | 222,408              | 155,887              |
| Total                 | <u>\$ 8,877,163</u>  | <u>\$ 9,283,218</u>  | <u>\$ 11,816,404</u> | <u>\$ 9,602,859</u>  | <u>\$ 10,401,875</u> |
| <b>UMA</b>            |                      |                      |                      |                      |                      |
| Alumni                | \$ 185               | \$ 560               | \$ 1,100             | \$ 170               | \$ 100               |
| Individuals           | 23,064               | 11,459               | 18,296               | 28,987               | 39,302               |
| Corporations          | 25,135               | 9,137                | 3,173                | 28,056               | 11,047               |
| University Foundation | 6,576                | 4,098                | -                    | -                    | -                    |
| Non-Profit            | 1,420                | 26,117               | 100                  | 2,085                | 31,685               |
| Other                 | 14,408               | 11,481               | 12,093               | 9,780                | 38,363               |
| Total                 | <u>\$ 70,788</u>     | <u>\$ 62,852</u>     | <u>\$ 34,762</u>     | <u>\$ 69,078</u>     | <u>\$ 120,497</u>    |
| <b>UMF</b>            |                      |                      |                      |                      |                      |
| Alumni                | \$ 323,060           | \$ 228,735           | \$ 243,969           | \$ 376,184           | \$ 493,311           |
| Individuals           | 5,061,498            | 1,360,082            | 623,026              | 282,518              | 2,169,915            |
| Corporations          | 8,506                | 7,802                | 32,284               | 94,450               | 138,139              |
| University Foundation | 40,888               | 47,370               | 2,973                | 1,460                | 2,408                |
| Non-Profit            | 4,177                | 6,343                | 2,560                | 111,789              | 104,400              |
| Other                 | 140,578              | 3,468                | 49,350               | 8,077                | 24,059               |
| Total                 | <u>\$ 5,578,707</u>  | <u>\$ 1,653,800</u>  | <u>\$ 954,162</u>    | <u>\$ 874,478</u>    | <u>\$ 2,932,232</u>  |
| <b>UMFK</b>           |                      |                      |                      |                      |                      |
| Alumni                | \$ 9,048             | \$ 64,377            | \$ 843               | \$ 6,309             | \$ 7,383             |
| Individuals           | 30,075               | 1,380                | 40,080               | 42,469               | 48,210               |
| Corporations          | 600                  | 314                  | -                    | 2,875                | 2,400                |
| University Foundation | 18,089               | 20,801               | 12,985               | -                    | -                    |
| Non-Profit            | -                    | 15,000               | 40,000               | 100                  | 11,350               |
| Other                 | -                    | -                    | -                    | 25                   | -                    |
| Total                 | <u>\$ 57,812</u>     | <u>\$ 101,872</u>    | <u>\$ 93,908</u>     | <u>\$ 51,778</u>     | <u>\$ 69,343</u>     |
| <b>UMM</b>            |                      |                      |                      |                      |                      |
| Alumni                | \$ 73,964            | \$ 209,624           | \$ 35,233            | \$ 89,498            | \$ 10,359            |
| Individuals           | 303,252              | 143,929              | 81,350               | 68,859               | 18,210               |
| Corporations          | 164,159              | 136,654              | 143,533              | 49,459               | 3,000                |
| Alumni Association    | -                    | -                    | -                    | 11,233               | -                    |
| Non-Profit            | 180,101              | 15,705               | 10,707               | 18,525               | 11,548               |
| Other                 | 4,050                | 2,000                | 2,050                | 8,670                | -                    |
| Total                 | <u>\$ 725,526</u>    | <u>\$ 507,912</u>    | <u>\$ 272,873</u>    | <u>\$ 246,244</u>    | <u>\$ 43,117</u>     |
| <b>UMPI</b>           |                      |                      |                      |                      |                      |
| Alumni                | \$ 4,843             | \$ 22,411            | \$ 12,608            | \$ 5,030             | \$ 12,870            |
| Individuals           | -                    | -                    | 157,058              | -                    | 4,057                |
| Corporations          | -                    | -                    | -                    | 10,000               | 500                  |
| University Foundation | 86,450               | 124,861              | 126,125              | 85,675               | 846,239              |
| Non-Profit            | -                    | -                    | 65,000               | -                    | -                    |
| Other                 | 13,570               | 14,366               | 6,276                | 7,868                | 10,323               |
| Total                 | <u>\$ 104,863</u>    | <u>\$ 161,638</u>    | <u>\$ 367,067</u>    | <u>\$ 108,573</u>    | <u>\$ 873,989</u>    |
| <b>USM</b>            |                      |                      |                      |                      |                      |
| Alumni                | \$ 562,859           | \$ 295,746           | \$ 292,621           | \$ 262,500           | \$ 276,041           |
| Individuals           | 677,399              | 400,538              | 438,416              | 437,693              | 690,484              |
| Corporations          | 262,254              | 294,407              | 297,633              | 268,199              | 499,202              |
| Alumni Association    | 15,000               | -                    | -                    | 95,000               | -                    |
| University Foundation | 5,234                | 57,894               | 10,547               | 1,152,276            | 1,418,769            |
| Non-Profit            | 530,575              | 304,540              | 563,964              | 284,902              | 221,010              |
| Other                 | 93,724               | 158,821              | 117,192              | 102,408              | 122,353              |
| Total                 | <u>\$ 2,147,045</u>  | <u>\$ 1,511,946</u>  | <u>\$ 1,720,373</u>  | <u>\$ 2,602,978</u>  | <u>\$ 3,227,859</u>  |
| <b>MULTI-CAMPUS</b>   |                      |                      |                      |                      |                      |
| Individuals           | \$ 3,313             | \$ 5,355             | \$ 10,237            | \$ 4,478             | \$ 26,450            |
| Corporations          | 1,500                | 6,250                | 43,000               | 150                  | 200                  |
| Non-Profit            | 101,577              | 50,279               | 91,864               | 642,530              | 69,267               |
| Other                 | 1,000,000            | 100                  | -                    | 475,100              | -                    |
| Total                 | <u>\$ 1,106,390</u>  | <u>\$ 61,984</u>     | <u>\$ 145,101</u>    | <u>\$ 1,122,258</u>  | <u>\$ 95,917</u>     |
| <b>TOTALS</b>         |                      |                      |                      |                      |                      |
| Alumni                | \$ 1,808,917         | \$ 1,411,293         | \$ 1,053,257         | \$ 2,240,439         | \$ 2,604,247         |
| Individuals           | 6,512,491            | 2,258,919            | 3,349,238            | 1,565,125            | 3,507,306            |
| Corporations          | 2,235,732            | 2,049,089            | 2,875,847            | 2,228,143            | 2,127,358            |
| Alumni Associations   | 434,519              | 650,247              | 706,240              | 752,258              | 418,727              |
| University Foundation | 4,347,877            | 4,700,981            | 4,100,478            | 4,594,905            | 6,921,654            |
| Non-Profit            | 1,791,772            | 1,864,671            | 2,467,073            | 2,463,040            | 1,834,552            |
| Other                 | 1,536,986            | 410,022              | 852,517              | 834,336              | 350,985              |
| Total                 | <u>\$ 18,668,294</u> | <u>\$ 13,345,222</u> | <u>\$ 15,404,650</u> | <u>\$ 14,678,246</u> | <u>\$ 17,764,829</u> |



University of Maine System

Annual Report on Gifts and Fund Raising

Year End Total Gift Assets by Campus by Year

|                                     | FY2001               | FY2002               | FY2003               | FY2004               | FY2005               |
|-------------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|
| <b>UM</b>                           |                      |                      |                      |                      |                      |
| Endowment Market Value              | \$55,874,464         | \$47,781,060         | \$47,660,767         | \$54,499,992         | \$57,687,257         |
| Non-endowment Gift Account Balances | 12,524,616           | 13,451,983           | 14,708,085           | 15,593,089           | 15,337,487           |
| <b>Total</b>                        | <b>\$68,399,080</b>  | <b>\$61,233,043</b>  | <b>\$62,368,852</b>  | <b>\$70,093,081</b>  | <b>\$73,024,744</b>  |
| <b>UMA</b>                          |                      |                      |                      |                      |                      |
| Endowment Market Value              | \$1,179,780          | \$1,079,111          | \$1,122,985          | \$1,385,134          | \$1,415,296          |
| Non-endowment Gift Account Balances | 372,983              | 382,761              | 350,893              | 317,021              | 346,120              |
| <b>Total</b>                        | <b>\$1,552,763</b>   | <b>\$1,461,872</b>   | <b>\$1,473,878</b>   | <b>\$1,702,155</b>   | <b>\$1,761,416</b>   |
| <b>UMF</b>                          |                      |                      |                      |                      |                      |
| Endowment Market Value              | \$5,478,347          | \$6,100,864          | \$6,462,166          | \$7,531,356          | \$9,420,344          |
| Non-endowment Gift Account Balances | 5,691,702            | 6,055,391            | 6,663,818            | 7,125,395            | 8,267,412            |
| <b>Total</b>                        | <b>\$11,170,049</b>  | <b>\$12,156,255</b>  | <b>\$13,125,984</b>  | <b>\$14,656,751</b>  | <b>\$17,687,756</b>  |
| <b>UMFK</b>                         |                      |                      |                      |                      |                      |
| Endowment Market Value              | \$1,487,145          | \$1,320,840          | \$1,285,183          | \$1,483,089          | \$1,582,071          |
| Non-endowment Gift Account Balances | 439,648              | 541,273              | 618,654              | 646,328              | 713,076              |
| <b>Total</b>                        | <b>\$1,926,793</b>   | <b>\$1,862,113</b>   | <b>\$1,903,837</b>   | <b>\$2,129,417</b>   | <b>\$2,295,147</b>   |
| <b>UMM</b>                          |                      |                      |                      |                      |                      |
| Endowment Market Value              | \$862,418            | \$740,663            | \$724,736            | \$966,705            | \$1,023,048          |
| Non-endowment Gift Account Balances | 414,187              | 297,732              | 202,575              | 174,809              | 174,017              |
| <b>Total</b>                        | <b>\$1,276,605</b>   | <b>\$1,038,395</b>   | <b>\$927,311</b>     | <b>\$1,141,514</b>   | <b>\$1,197,065</b>   |
| <b>UMPI</b>                         |                      |                      |                      |                      |                      |
| Endowment Market Value              | \$938,434            | \$805,176            | \$775,288            | \$1,039,846          | \$1,094,633          |
| Non-endowment Gift Account Balances | 192,763              | 216,988              | 401,805              | 384,773              | 1,180,838            |
| <b>Total</b>                        | <b>\$1,131,197</b>   | <b>\$1,022,164</b>   | <b>\$1,177,093</b>   | <b>\$1,424,619</b>   | <b>\$2,275,471</b>   |
| <b>USM</b>                          |                      |                      |                      |                      |                      |
| Endowment Market Value              | \$13,430,289         | \$12,155,511         | \$13,152,842         | \$16,720,404         | \$18,813,208         |
| Non-endowment Gift Account Balances | 6,143,354            | 6,928,420            | 7,565,849            | 6,450,016            | 6,561,546            |
| <b>Total</b>                        | <b>\$19,573,643</b>  | <b>\$19,083,931</b>  | <b>\$20,718,691</b>  | <b>\$23,170,420</b>  | <b>\$25,374,754</b>  |
| <b>SWS</b>                          |                      |                      |                      |                      |                      |
| Endowment Market Value              | \$8,668,595          | \$7,451,855          | \$7,159,738          | \$9,206,437          | \$9,691,496          |
| Non-endowment Gift Account Balances | 1,535,439            | 1,320,416            | 1,790,899            | 854,099              | 875,522              |
| <b>Total</b>                        | <b>\$10,204,034</b>  | <b>\$8,772,271</b>   | <b>\$8,950,637</b>   | <b>\$10,060,536</b>  | <b>\$10,567,018</b>  |
| <b>TOTAL UMS</b>                    |                      |                      |                      |                      |                      |
| Endowment Market Value              | \$87,919,472         | \$77,435,080         | \$78,343,705         | \$92,832,963         | \$100,727,353        |
| Non-endowment Gift Account Balances | 27,314,692           | 29,194,964           | 32,302,578           | 31,545,530           | 33,456,018           |
| <b>Total</b>                        | <b>\$115,234,164</b> | <b>\$106,630,044</b> | <b>\$110,646,283</b> | <b>\$124,378,493</b> | <b>\$134,183,371</b> |

**UNIVERSITY OF MAINE SYSTEM**  
**STATUS OF UNIVERSITY CAPITAL CAMPAIGNS AS OF JUNE 30, 2005**

| <u>DEVELOPMENT ACTIVITY</u>                      |  | <u>START DATE</u> | <u>END DATE</u> | <u>CAMPAIGN GOAL</u> | <u>GIFTS &amp; OUTSTANDING PLEDGES</u> |
|--|--|-------------------|-----------------|----------------------|--|
|  |  |                   |                 | (in thousands)       | (as of 06/05)<br>(in thousands)        |
| <b>Internal University Capital Campaigns</b>     |  |                   |                 |                      |  |
| <b>UM</b>  | Alfond Arena Complex & Walsh Hockey Center   | 01/01/02          | 12/31/07        | \$3,500.0            | \$2,307.8                              |
|  | Honors Center  | 02/01/98          | 12/31/06        | 1,050.0              | 820.9                                  |
|  | Jordan Athletic Training Education Complex   | 01/01/01          | 12/31/06        | 500.0                | 447.9                                  |
|  | Cohen Papers   | 02/24/97          | 12/31/07        | 1,484.0              | 706.1                                  |
|  | Visual Arts Complex Lord/Wyeth   | 11/07/01          | 12/31/08        | 6,000.0              | 1,862.9                                |
|  | Maine Center for the Arts and Hudson Museum  | 09/20/04          | 12/31/08        | 10,200.0             | 566.5                                  |
| <b>UMA</b>                                       | *  |                   |                 |                      |  |
| <b>UMF</b>                                       | Education Center   | 05/01/03          | 06/01/05        | 3,200.0              | 2,905.2                                |
|  | Emery Community Arts Center  | 07/01/01          |                 | 15,000.0             | 5,000.1                                |
| <b>UMFK</b>                                      | *  |                   |                 |                      |  |
| <b>UMM</b>                                       | *  |                   |                 |                      |  |
| <b>UMPI</b>                                      | *  |                   |                 |                      |  |
| <b>USM</b>                                       | School of Applied Science, Engineering and Technology<br>Expansion and Development | 07/01/02          | 07/31/05        | 8,000.0              | 7,894.5 **                             |
| <b>UMS</b>                                       | *  |                   |                 |                      |  |
|  |  |                   | Subtotal        | \$48,934.0           | \$22,511.9                             |
| <b>Affiliated Organization Capital Campaigns</b> |  |                   |                 |                      |  |
|  | UM Foundation Buchanan Alumni House Endowment                                      | 09/01/03          | 12/31/07        | \$4,000.0            | \$3,369.8                              |
|  | Foundation of the University at Presque Isle<br>-Centennial Capital Campaign       | 01/01/02          | 06/30/05        | 3,400.0              | 1,813.6 ***                            |
|  | USM Foundation - Transforming USM  | 04/01/04          | 12/31/06        | 25,000.0             | 6,765.1                                |
|  |  |                   | Subtotal        | \$32,400.0           | \$11,948.5                             |
| <b>TOTAL</b>                                     |  |                   |                 | \$81,334.0           | \$34,460.4                             |

\* No current capital campaigns.

\*\* Includes \$5,955.5 in Federal and State funds.

\*\*\* Corporate gifts and foundation grants are still being sought.

**UNIVERSITY OF MAINE SYSTEM  
UNIVERSITY AFFILIATED FUND-RAISING ORGANIZATIONS**

|   | <u>FY2001</u> | <u>FY2002</u> | <u>FY2003</u> | <u>FY2004</u> | <u>FY2005</u> |
|---|---------------|---------------|---------------|---------------|---------------|
| <b>UNIVERSITY OF MAINE ALUMNI ASSOCIATION</b>               |               |               |               |               |               |
| CASH GIFTS RECEIVED   | \$1,231,398   | \$1,568,813   | \$1,453,819   | \$1,063,622   | \$798,962     |
| YEAR END TOTAL ASSETS                                       | 0             | 0             | 0             | 0             | 0             |
| <b>UNIVERSITY OF MAINE FOUNDATION</b>                       |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 5,371,591     | 4,612,096     | 3,873,586     | 9,934,474     | 8,055,986     |
| YEAR END TOTAL ASSETS                                       | 114,709,434   | 103,727,450   | 108,423,747   | 127,924,813   | 138,994,389   |
| <b>UNIVERSITY OF MAINE PULP &amp; PAPER FOUNDATION</b>      |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 514,063       | 408,094       | 462,678       | 262,597       | 296,267       |
| YEAR END TOTAL ASSETS                                       | 19,120,345    | 17,706,097    | 15,784,006    | 18,219,432    | 19,648,600    |
| <b>PINE TREE STATE 4-H CLUB FOUNDATION</b>                  |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 58,220        | 138,321       | 217,161       | 62,188        | 68,859        |
| YEAR END TOTAL ASSETS                                       | 2,426,958     | 2,881,626     | 2,491,479     | 2,910,209     | 3,148,775     |
| <b>UNIVERSITY OF MAINE AT AUGUSTA FOUNDATION</b>            |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 10,136        | 1,580         | Not Available | Not Available | Not Available |
| YEAR END TOTAL ASSETS                                       | 410,433       | 392,380       | Not Available | Not Available | Not Available |
| <b>UNIVERSITY OF MAINE AT FARMINGTON ALUMNI FOUNDATION*</b> |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 55,117        | 93,240        | 45,104        | 15,428        | 12,556        |
| YEAR END TOTAL ASSETS                                       | 774,331       | 753,479       | 775,320       | 848,962       | 904,422       |
| <b>UNIVERSITY OF MAINE AT FORT KENT ALUMNI ASSOCIATION</b>  |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 70            | 670           | 0             | 7,914         | 770           |
| YEAR END TOTAL ASSETS                                       | 8,393         | 8,164         | 7,038         | 8,560         | 10,112        |
| <b>UNIVERSITY OF MAINE AT FORT KENT FOUNDATION*</b>         |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 25,028        | 45,147        | 24,798        | 19,052        | 8,948         |
| YEAR END TOTAL ASSETS                                       | 271,864       | 276,760       | 279,734       | 329,059       | 366,024       |
| <b>JOHN L. MARTIN SCHOLARSHIP FUND*</b>                     |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 0             | 0             | 0             | 0             | 0             |
| YEAR END TOTAL ASSETS                                       | 69,738 **     | 59,844 **     | 57,622 **     | 65,621 **     | 69,081 **     |
| <b>UNIVERSITY OF MAINE AT MACHIAS ALUMNI ASSOCIATION</b>    |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 0             | 0             | 1,145         | 6,270         | 1,392         |
| YEAR END TOTAL ASSETS                                       | 0             | 0             | 4,001         | 11,179        | 9,378         |
| <b>FOUNDATION OF THE UNIVERSITY AT PRESQUE ISLE</b>         |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 44,586        | 55,992        | 31,890        | 130,914       | 231,736       |
| YEAR END TOTAL ASSETS                                       | 2,272,000     | 2,123,028     | 2,082,232     | 2,310,051     | 2,676,398     |
| <b>UNIVERSITY OF SOUTHERN MAINE FOUNDATION*</b>             |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 840,768       | 395,376       | 2,460,221     | 1,794,251     | 3,061,878     |
| YEAR END TOTAL ASSETS                                       | 1,395,353     | 1,616,235     | 4,035,494     | 6,248,456     | 10,349,472    |
| <b>UM LAW ALUMNI ASSOCIATION</b>                            |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 109,350       | 42,205 ***    | 131,659       | 106,040       | 119,389       |
| YEAR END TOTAL ASSETS                                       | 65,418        | 93,598 ***    | 115,916       | 89,181        | 61,995        |
| <b>UM SCHOOL OF LAW SCHOOL FOUNDATION</b>                   |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 0             | 178,874       | 282,461       | 666,232       | 898,026       |
| YEAR END TOTAL ASSETS                                       | 0             | 90,852        | 332,503       | 1,057,038     | 1,776,803     |
| <b>TOTAL</b>  |               |               |               |               |               |
| CASH GIFTS RECEIVED   | 8,260,327     | 7,540,408     | 8,984,522     | 14,068,982    | 13,554,769    |
| YEAR END TOTAL ASSETS                                       | 141,524,267   | 129,729,513   | 134,389,092   | 160,022,561   | 178,015,449   |

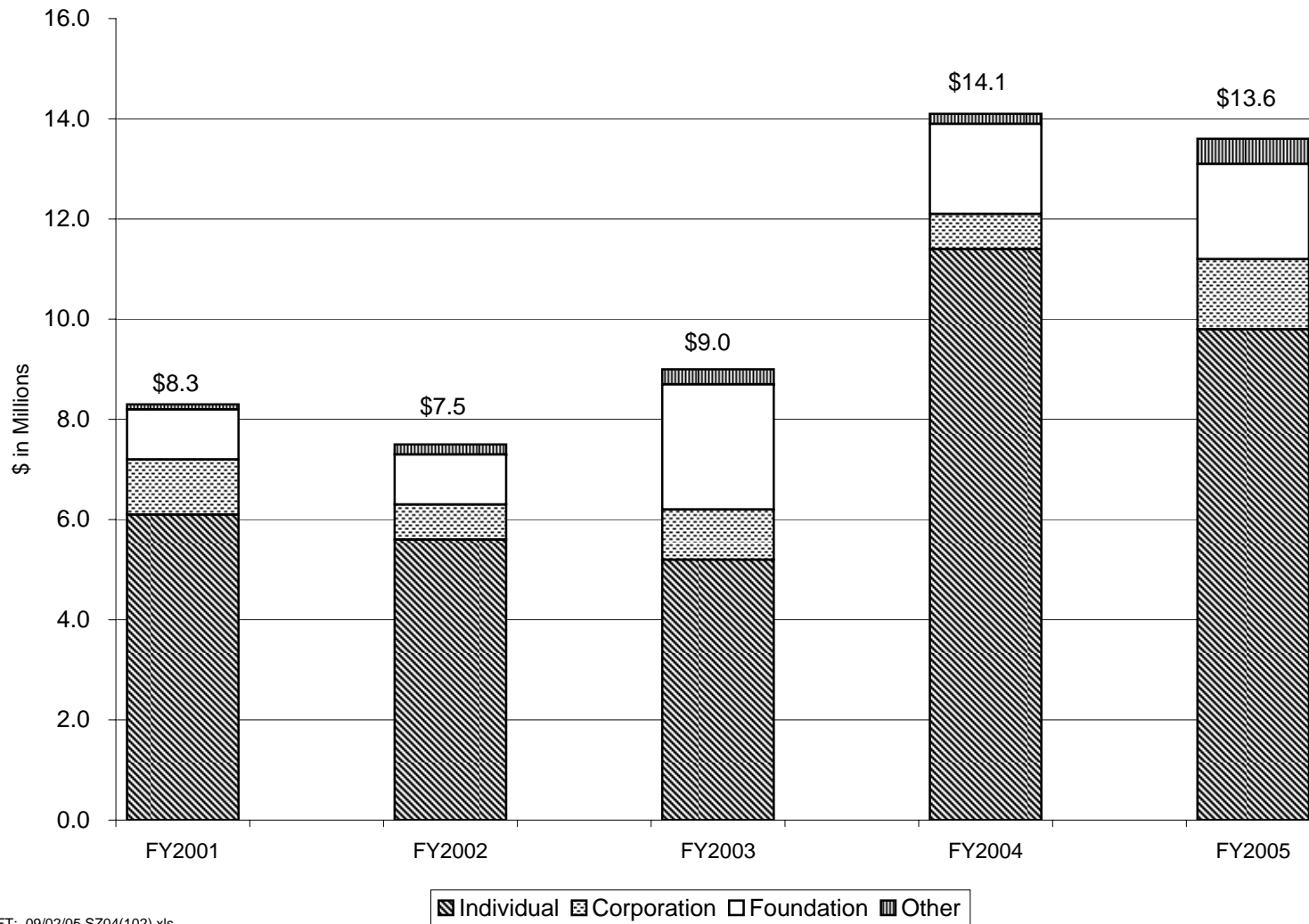
\* Endowments managed by UMS.

\*\* Unaudited

\*\*\* Six month fiscal year. Went from calendar to fiscal year reporting.

Note: See Page 5 for comparable UMS year end total gift assets by campus.

### Cash Gifts Received by University Affiliated Fund Raising Organizations



OFT: 09/02/05 SZ04(102).xls

# **UNIVERSITY OF MAINE SYSTEM**

## **MISSION STATEMENTS OF UNIVERSITY AFFILIATED FUND-RAISING ORGANIZATIONS**

### **University of Maine Alumni Association**

It is the mission of the University of Maine Alumni Association to promote excellence at the University of Maine by fostering productive relationships among, providing service and support to, and serving as an advocate for the University of Maine and its alumni.

### **University of Maine Foundation**

Vision: The University of Maine Foundation will be the premier planned giving service provider and charitable fiduciary in northern New England. By providing a high level of services to alumni, friends and professional advisors, the Foundation will be a model organization for its peer institutions,

Mission: The Mission of the University of Maine Foundation is to create a margin of excellence in educational opportunities for those seeking an education at The University of Maine, and to assist in the development of the University by encouraging gifts and bequests for scholarships, instruction, research, endowment and other purposes which will benefit the University, while maintaining its independent status as a non-profit organization.

### **University of Maine Pulp and Paper Foundation**

**The purpose of The Foundation is to promote the following objectives:**

1. To interest highly capable students in preparing for and advancing in engineering and forestry careers in pulp and paper and related industries.
2. To provide financial assistance in the form of loans, grants and scholarships for students who plan careers in pulp and paper and related industries.
3. To assist and advise the University in developing a curriculum of undergraduate and advanced study and continuing education to meet the needs of members of The Foundation.
4. To encourage promising students to elect a curriculum emphasizing operational management in pulp and paper and related industries.

5. To help assure that the staff responsible for teaching pulp and paper related subjects are well motivated and of the highest quality.
6. To advance fundamental and applied research for pulp and paper and related industries.

### **Pine Tree State 4-H Club Foundation**

The mission of the Pine Tree State 4-H Foundation is to enrich youth opportunities through partnership with Maine 4-H. The Foundation's express purpose is to promote, foster, develop and encourage youth education and adult volunteerism in support of the 4-H program. In achieving this purpose, the Corporation is empowered to have and exercise all privileges and prerogatives usually conferred upon corporations formed under the applicable provisions of the revised statutes of Maine (1954) as amended and under the common law of the state including, but not limited to, the receiving, acquiring, handling and disposing of any property real, personal or mixed to establish and maintain scholarship, student education loan funds, grants, exhibits, demonstrations and other events all in such manner as in the judgment of its duly elected officers will tend to accomplish the general education purpose of this corporation.

### **University of Maine at Augusta Foundation**

#### **Purpose:**

The University of Maine at Augusta Foundation (the "Foundation") shall engage exclusively in activities for charitable and educational purposes within the meaning of Section 501(c)(3) of the Internal Revenue Code of 1954 (or the corresponding provision of any future United States Internal Revenue Code Law) and within these limitations, the Foundation is authorized to or for the benefit of the University of Maine at Augusta (UMA). The Foundation is created to serve the interest and mission of UMA, as defined and adopted from time to time by the Board of Trustees of the University of Maine System.

UMA is community-based and community-oriented. As part of its support function, the Foundation shall facilitate and enhance the relationships between UMA and the communities which it serves.

In that support function, the Foundation shall provide the communities and members of the communities which UMA serves, with an opportunity to articulate educational needs and to suggest ways in which the communities and UMA can work together in providing for those needs. The foundation, through fund-raising activities, shall generate and be the recipient of grants, gifts and bequests from the communities, from members of the communities and from all other sources. Such grants, gifts and bequests shall be held, invested and administered by the Foundation for the benefit of UMA to carry out UMA programs approved and authorized by the Trustees of the University of Maine System, but not funded, in whole or in part.

### **University of Maine at Farmington Alumni Foundation**

**Purpose:**

The purpose of said corporation is to operate an endowment foundation for the benefit of the University of Maine at Farmington and receive gifts from alumni and from the public for the benefit of the University of Maine at Farmington, and to receive, hold, invest, and administer property in order to make expenditures to or for the benefit of University of Maine at Farmington.

### **University of Maine at Fort Kent Alumni Association**

The object of this Association shall be to promote and foster the best interests of the University of Maine at Fort Kent.

### **University of Maine at Fort Kent Foundation**

The purposes of said Corporation are to acquire real or personal property by devise, bequest, gift, donation, or otherwise, to hold, administer, control, and manage the same for the benefit of the University of Maine at Fort Kent, its faculty or students, or any member of either body, upon such terms and to such ends as donors, testators, or others shall prescribe, or otherwise on terms prescribed by this Corporation in the exercise of its discretion.

### **John L. Martin Scholarship Fund - University of Maine at Fort Kent**

The John L. Martin Scholarship Fund exists solely to provide scholarship awards to entering freshman at the University of Maine at Fort Kent and summer scholarships to Bachelor of Science in Environmental Studies students at UMFK who are working on the Allagash Wilderness Waterway.

### **University of Maine at Machias Alumni Association**

The mission statement of the Alumni Association is to contribute to the growth and prosperity of the University of Maine at Machias, to establish and maintain an effective, strong relationship between the Association and the University. To support the administration in striving to maintain the high educational standards which were originally established for Washington State Normal School, to promote positive public relations and to maintain a well-organized active membership.

## Foundation of the University at Presque Isle

### The purposes of said Corporation are:

1. To promote educational purposes in connection with, or at the request of, the University of Maine at Presque Isle, and various activities of, or pertaining to, said institution.
2. To receive and administer funds for scientific, educational and research purposes, all for the public welfare, and for no other purposes, and to that end, take and hold by bequest, devise, gift, purchase or lease, either absolutely or in trust, for such objects and purposes or any of them, any property, real, personal or mixed without limitation as to amount or value except such limitation, if any, as may be imposed by law;
3. To sell, convey and dispose of any such property and to invest and reinvest the principal thereof and to deal with and expend the income therefrom for any of the before mentioned purposes, without limitation, except such limitations, if any, as may be contained in the instrument in which such property is received;
4. To receive any property, real, personal or mixed under the terms of any will, deed or trust instrument for the foregoing purposes or any of them (but for no other purposes) and in administering the same to carry out the directions and exercise the powers contained in the trust instrument under which the property is received, including the expenditure of the principal, as well as the income, for one or more of such purposes, if authorized or directed in the trust instrument under which it is received;
5. To receive, take title to, hold and use the proceeds and income of stocks, bonds, obligations or other securities of any corporation or corporations, domestic or foreign, but only for the foregoing purposes, or some of them;
6. To support and assist in any other manner or by any other means whatsoever, the Trustees of the University of Maine in the conduct of the affairs of the University of Maine at Presque Isle and the accomplishment of the educational purposes of said University of Maine at Presque Isle;
7. And, in general, to exercise any, all and every power for which a non-profit corporation under the provisions of Title 13, Chapter 18 of the 1964 Revised Statutes of Maine, as amended, can be authorized to exercise but not any other power.



## **University of Southern Maine Foundation**

The USM Foundation has been incorporated to act as the private, non profit, fund-raising entity for the University of Southern Maine and as such to solicit, receive, manage and direct gifts of money, securities, personal and real property to benefit the University. In carrying out this purpose, the USM Foundation will work in partnership with the University of Southern Maine to ensure and enhance the growth, quality, and reputation of the University of Southern Maine.

The following principles of operation will guide the Foundation in its efforts to support the University of Southern Maine:

- Support the University's mission of teaching, research and public service.
- Serve as a visible and active advocate of the University.
- Create and maintain positive relationships with prospective and continuing donors.
- Seek funds to augment and enhance the University's financial support. Increase the number and type of private gifts directed to the University.
- Build endowments which are prudently invested in accordance with the Foundation's investment policy.
- Serve as the repository for charitable gift endowed funds and those funds to be held for a period of time and responsibly direct those gifts to fulfill donor intent.
- Disburse funds to the University in a timely manner in accordance with the Foundation's mission.
- Work in collaboration with University Advancement to secure funds and advocate for the University based on University needs as presented by the USM President.
- Develop and maintain an independence which provides flexibility and attractive options for supporters to direct gifts to the Foundation for the benefit of the University.

## **University of Maine Law Alumni Association**

### **The purposes of said Association are:**

To advance the cause of legal education; to support the pursuit of legal scholarship and the development of the legal profession; to support the educational program of the University of Maine School of Law; and to serve the purposes of graduates, students, faculty, and staff of the Law School in accordance with general policies formulated by the Dean and faculty of the Law School.

## University of Maine School of Law Foundation

The Foundation is organized and shall be operated exclusively for charitable, religious, educational and scientific purposes, including, for such purposes the making of distributions to organizations that qualify as exempt organizations under Section 501c(3) of the Internal Revenue Code of 1986, or corresponding sections of any further federal tax code (collectively, the "Code"). In furtherance of those charitable, educational, and scientific purposes, the purpose of the Foundation shall be to:

- A. Stimulate major voluntary financial support from alumni, friends, corporations, foundations, and others for the sole benefit of University of Maine School of Law (the "Law School") or successor institution, especially in the building of endowment and in addressing long-term academic priorities of the Law School. The corporation shall be responsible for the identification of potential donors; the nurturing relationships between such persons and the Law School; the solicitation of gifts of cash, securities, real and intellectual property, and other forms of direct support; and the acknowledgement of gifts and all ongoing responsibilities related to such gifts.

The Foundation shall receive, invest and administer funds, subject to such restrictions as have been imposed by the donor thereof on the use of the principal and income of such funds.

- B. Conduct such other activities and/or business for all other purposes that may be lawfully carried on or performed by a corporation formed under the Non-Profit Corporation Law, Maine Revised Statutes Annotated Title 13-B, as amended.