

12-11-2000

Initiating the Dialogue: Academic Research Ethics in Indian Country

University of Maine

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**LECTURE SERIES COMMITTEE
GRANT APPLICATION**

PROVIDE **10 (TEN)** COPIES, INCLUDING THE ORIGINAL.
PLEASE PLACE A COPY OF THIS COVER SHEET ON TOP OF EACH COPY

I. Applicant/Organization: Native American Studies Program

II. a. Responsible Organization Officer: Maureen E. Smith

b. Title: Director

c. Campus Address (include EMail and Telephone): 402 Hannibal Hamlin
Maureen E. Smith (First class)
1-1407

III. Summary of program requiring funding (title; featured artist(s); speaker(s); scheduled date (s):

Native American Studies is applying for a **Cultural Affairs/Distinguished Lecture Committee Grant** in order to present a two-day symposium (12-13 April) during the spring semester of 2001. The topic of the symposium will be, **Initiating the Dialogue: Academic Research Ethics in Indian Country**. The purpose of the Symposium is to provide a forum in which University of Maine Faculty and the Maine Indian communities can begin to discuss the role of professional ethics when working with Native communities. This will provide an opportunity for University researchers (which ultimately includes Faculty, Graduate, and Undergraduate researchers) who do research involving Indian communities to learn about the concerns of Indian communities, and to learn how to conduct appropriate and respectful research in those communities. Featured presenters at this Symposium will include Professors **Donald Fixico** (University of Kansas), **Duane Champagne** (UCLA), **Devon Mihesuah** (Northern Arizona University), **Kenneth Morrison** (Arizona State University), and **Nate St. Pierre** (Montana State University).

Expand in fuller detail, a supporting statement with detailed, itemized budget. Funding for current application is contingent upon submission of detailed budget and attendance report for any previous years' grants.

IV. a. Total funding required for program:	>	\$19,760
b. Amount committed by applicant organization:	>	\$260 + additional expenses
c. Amount committed by/requested of (please indicate which) other funding sources:	>	\$15,000
d. Amount requested of Cultural Affairs/DLS Committee:	>	4500

Signature of responsible organization officer/date: Maureen E. Smith 12/11/00
SIGNATURE DATE

SPACE BELOW FOR COMMITTEE USE:

*Location / Public Outreach
\$4500*

SEND COMPLETED APPLICATION TO: **CULTURAL AFFAIRS COMMITTEE
C/O PRESIDENT'S OFFICE
200 ALUMNI HALL**

**Proposal for the Cultural Affairs/Distinguished
Lecture Series Committee:
Academic Research Ethics in Indian Country Symposium**

Native American Studies is applying for a **Cultural Affairs/Distinguished Lecture Committee Grant** in order to present a two-day symposium (12-13 April) during the spring semester of 2001. The topic of the symposium will be, **Initiating the Dialogue: Academic Research Ethics in Indian Country**. The purpose of the Symposium is twofold. First, it is to provide a forum in which University of Maine Faculty can begin to discuss the role of professional ethics when working with Native communities. This will provide an opportunity for University researchers (which ultimately includes Faculty, Graduate, and Undergraduate researchers) who do research involving Indian communities to learn about the concerns of Indian communities, and to learn how to conduct appropriate and respectful research in those communities.

The second purpose of the Symposium is to provide an opportunity for Maine Indian communities to sit down with knowledgeable research professionals and discuss the impacts of research in Indian country. This will provide an opportunity for members of Maine Indian communities to voice their concerns about the effects of academic research on their communities. Through this symposium, Native communities can begin to develop research guidelines to be used in their respective communities.

NAS intends this Symposium to create a broad public awareness of the issue and to foster a constructive dialogue between the Native and the academic communities. These presentations will be free and open to the public.

Grant Guidelines

- 1 The Native American Studies program is a part of the University of Maine. The Director of NAS is Dr. Maureen E. Smith. According to the NAS mission:

The Native American Studies program strives to develop a better university community understanding of traditional and contemporary Native American cultures through education. The program works with the Wabanaki Center for Native American Programs in offering an academic program that not only is interdisciplinary but also values Native approaches to learning, teaching, and understanding. Both Native American Studies and the Wabanaki Center encourage the exchange of resources and knowledge between Native American communities and the university community.

- 2 Currently we are applying for a Libra Professorship to help fund this Symposium. The Libra will cover a substantial portion of this project (\$15000). The Libra will fund honoraria and expenses for the 5 (five) Outstanding Native American Scholars we have invited. These scholars include Professors **Donald Fixico** (University of Kansas), **Duane Champagne** (UCLA), **Devon Mihesuah** (Northern Arizona University), **Kenneth Morrison** (Arizona State University), and **Nate St. Pierre** (Montana State University). All but Dr. Mihesuah have

given us a firm commitment. We are also inviting **Dr. James Nason** (Curator of Pacific and American Ethnology, Burke Museum, University of Washington), **Marilyn Jones** (Director of Suquamish Tribal Museum), **Dean Suagee** (Director of Native American Environmental Legal Clinic, Vermont Law School), **Kevin Howlett** (Council member of Salish-Kootenai Tribe), and **Myron Littlebird** (Northern Cheyenne Reservation). These individuals have experience in working with and developing academic research guidelines for various Indian communities. At this time, we do not have confirmations from these individuals.

In order to produce a professional and competent Symposium, we will need additional funding (**\$4500**). Currently, we are also negotiating with the Wabanaki Center for financial assistance to cover reception/lunches for the Symposium. However, this is separate from the Symposium cost estimates below.

- 3 The symposium will have a broad public appeal. The two primary audiences that we have targeted are the University of Maine Faculty and the Maine Indian communities. Due to the significance of the symposium topic (Academic Research Ethics in Indian Country) and current national research issues (i.e. Kennewick Man and the American Anthropological Association controversy about research ethics in Yanomamo communities in Venezuela), this issue will appeal to the general public. Moreover, the issue concerning research ethics affects the University of Maine and its relationship among all Native communities in which UM faculty conducts academic research.
- 4 We are requesting **\$4500** from the **Cultural Affairs/Distinguished Lecture Series Committee**. That is approximately one fourth of the estimated cost of the Symposium.
- 5 We are not requesting funding for a reception or social occasions incident to this cultural event.
- 6 We would be willing to attend the Committee's meeting in which this proposal is discussed.
- 7 We intend to submit **10 (ten) copies including the original**. Also we have itemized our cost and income estimates below. See **Symposium costs** below.
- 8 We intend to submit a report after the conclusion of the Symposium (12-13 April 2001).
- 9 This proposal is not intended for individual/group tours or events that are primarily components of academic courses.
- 10 We do not intend to have an admission price; all members of the Committee and their guests are invited.

Symposium Format

This Symposium will include a series of presentations over a two-day period that will address the concerns of both the academic community and the Maine Indian community. The **Keynote speech** will define the issues. It will describe research ethics and discuss why they are important to the Indian and the academic communities. A nationally known Native American research scholar will open the Symposium with the keynote address. Presentations will include:

the role of research in Indian country. For Indian people, research is a two way street. Many Indian communities are developing programs that will assist and benefit their communities. Academic research is a way to facilitate that development. Many Indian communities welcome researchers, however, they are concerned with the theft or inappropriate use of cultural properties and traditional knowledge.

the research responsibilities of the academic community. Academic researchers are concerned with their ability to conduct research and to acquire the most complete information and data available.

the legal issues concerning research. Research in Indian Country raises a number of legal issues. Among them are intellectual property rights, copyright, liability and NAGPRA. Both the researcher and the community have rights with respect to academic research. The purpose of this presentation is to describe those rights and to develop a respectful research policy between the University and Maine Indian communities.

This Symposium will then proceed with the establishment of two working groups that will begin to explore various aspects of creating a respectful research policy. The first group will focus on creating research guidelines by the Indian communities. This group will devise a set of guidelines that will reflect the goals and concerns of Native communities. The second group will focus on developing a respectful research policy by the academic community. This group will incorporate the goals of the academy with the concerns of Native peoples to develop a research policy that benefits both scholarship and respect for Native people.

Symposium costs will include:

\$2000 honorarium (7 presenters)	\$14000
\$90 per day (lodging, meals, necessities) x7x2	\$1260
\$300-500 per plane ticket per presenter	\$ 3500
2 days of breakfast/refreshment supplies (for 75 persons) (coffee, tea, juice, bagels, muffins, etc.)	\$200
\$200 workshop materials	\$200
\$200 advertising and publicity for event	\$200
 Estimated total cost	 \$19760

To help fund the Symposium we are in the process of applying for a
Libra Professorship Grant \$15000

In this Grant Proposal, we are requesting:
Cultural Affairs/Distinguished Lecture Series Committee \$4500

Also we are asking the Wabanaki Center to help cover the cost of a reception/lunches.
Please note: The reception/lunches expenses have not been included in the current cost estimate. The **current cost estimate** includes only the costs relating to the actual Symposium (i.e. cost of honoraria, travel, lodgings, etc. for the presenters, and costs incidental to the actual Symposium).

The **Academic Research Ethics in Indian Country** Symposium will create a forum (and hopefully dialogue) between the University faculty and the Native communities. By doing so, the University will recognize the importance of communication with the Native community. It will also recognize the important role that the Native communities have in providing a resource for academic research. The development of a respectful research policy is a fundamental aspect in the fulfillment of the University Diversity Action Plan commitment to diversity in the curriculum. It can demonstrate to the Native community that the University is committed to addressing issues relevant to the Native people in general. Furthermore, the basic purpose of the symposium is to promote respect and dignity toward Native American peoples.

Cultural Affairs Committee
including the
Distinguished Lecture Series
200 Alumni Hall
University of Maine

December 27, 2000

To: Maureen Smith

From: Kathleen March & François Amar, Co-Chairs

On behalf of the Cultural Affairs Committee, including the Distinguished Lecture Series, it gives me great pleasure to inform you that your proposal, Native American Studies symposium, has been awarded 4500.00. **Please contact Wanda Legere at 1-1516 before your event takes place to arrange for disbursement of award funds.** Note: Reception and payroll expenses are not reimbursable through this award.

Please note that acknowledgment of the *Cultural Affairs Committee* is required on all promotional materials. **A budget report must be submitted at the conclusion of your event and will be considered for future funding requests.** It is assumed that projects are completed within 12 months, therefore, any remaining balance will be returned to the Committee account for further distribution unless otherwise notified.

The Committee notes that it does not fund more than 50% of the amount for any given program. It also asks applicants to consider the most reasonable honoraria possible, as there may not be funding for the higher amounts.

We, the members of the Cultural Affairs Committee, congratulate you and wish you much success on this project.